



**Source or sink stands – Can stand parameters be used to predict mountain pine beetle brood production with enough precision to be useful for assigning treatment priorities?**

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## Abstract

Stand-level planning of lodgepole pine management can benefit from the use of mountain pine beetle susceptibility-risk model analyses to assign treatment priority. Priority is currently assigned based solely on relative levels of expected volume loss in the event of a mountain pine beetle outbreak. We evaluated the possibility to predict the relative contribution of brood beetles, by infested stands, to the next beetle generation. Existing data were used to develop generalized parameters for inclusion in predictive models of stand-level mortality and brood production. Model output for independent stands achieved a highly significant relationship with measured outcomes of brood productivity, indicating that relative levels of brood production can be predicted and incorporated into decision-models.

**Keywords:** Stand susceptibility index, susceptibility rating, mountain pine beetle, brood productivity, stand-level mortality, net brood production, *Dendroctonus ponderosae*, *Pinus contorta* var. *latifolia*

## Résumé

La planification à l'échelle du peuplement de la gestion des pins tordus peut profiter de l'utilisation des analyses du modèle d'évaluation de la vulnérabilité du dendroctone du pin pour déterminer la priorité du traitement. La priorité est déterminée en fonction des niveaux relatifs de la perte de volume prévue dans le cas d'une infestation de dendroctone du pin. Les modèles actuels ont tendance à donner des résultats variables. Il serait donc bénéfique d'améliorer leur précision. Nous avons utilisé des données existantes pour élaborer des paramètres généralisés en vue d'inclure la production de couvain et la mortalité à l'échelle du peuplement dans les modèles de prévision. Le modèle élaboré pour les peuplements indépendants a permis de mettre au jour l'existence d'un lien très important avec les résultats mesurés en matière de productivité de couvain, ce qui indique qu'on peut prévoir la production de couvain et qu'elle peut être intégrée dans les modèles décisionnels.

**Mots-clés :** Indice de vulnérabilité du peuplement, évaluation de la vulnérabilité, dendroctone du pin, productivité de couvain, mortalité à l'échelle du peuplement, production nette de couvain, *Dendroctonus ponderosae*, *Pinus contorta* var. *latifolia*.

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## Introduction

Outbreaks of the mountain pine beetle, *Dendroctonus ponderosae* Hopk., can have devastating effects on lodgepole pine, *Pinus contorta* Dougl., forests in western North America (Amman and Cole, 1983). To minimize negative economic impacts of such outbreaks, it is often necessary to prioritize forest stands for treatment, e.g., clear-cutting and thinning. Prioritization is usually assigned to stands based on a stand susceptibility rating model, which provides a measure of potential losses in the event of a mountain pine beetle invasion. In Canada, the most frequently used model was developed by Shore and Safranyik (1992) and (Shore et al. 2000, Shore and Safranyik 1992). This model assigns a stand susceptibility value, which reflects the potential percent of basal area lost to bark beetles. Basal area is the total cross-sectional area of trees, measured at breast height (1.4 m), per area unit, and it is used to calculate volume, and hence the economic value of a stand. A measure of the percentage of basal area killed is, therefore, a primary concern for forest managers. However, infested stands also provide the host material for the next generation of beetles and thereby constitute a risk for neighboring stands. There is large variation among stands in terms of the number of brood beetles that are produced, which may be due to differences in tree diameter distribution, attack densities, etc. (Safranyik et al., 1975; Safranyik, 1988). It would, therefore, be valuable if stand-level assessments of the relative contribution by infested stands to the next beetle generation could be used together with the stand susceptibility rating system to aid in stand prioritization.

On average, large trees produce more brood beetles than small trees (Safranyik et al., 1975; Safranyik, 1988), but there is also vast variation in brood production between similarly sized trees growing in different stands (Safranyik and Carroll, 2006). Such differences may be due to variation among stands with regard to temperature, moisture, intraspecific competition, host quality, host defenses, natural enemies, etc. Thus, the only way to obtain a precise estimate of the net brood production of any given stand is to do field sampling. Appropriate sampling techniques have been developed for sampling mountain pine beetles in lodgepole pine (Safranyik, 1968). To use net brood production within a stand as a tool for stand prioritization, however, it must be possible to generate a brood production estimate before any beetle attack occurs. In this study, we evaluated the possibility of using general mountain pine beetle-lodgepole pine parameters to estimate stand-level net brood production.

## Materials and methods

We calculated “general” mountain pine beetle-lodgepole pine parameters based on data from an independent large-scale study by Shore et al. (2000). In addition, we intensively sampled 26 stands at the end of mountain pine beetle infestations, i.e., when most or all susceptible trees had been attacked and killed, for stand specific attack and emergence densities. To evaluate the accuracy of estimates of stand-level net brood production based on “general” parameters generated from Shore et al. (2000) study stands, the relationship between these estimates and data from the sampled stands were calculated.

The following four-step procedure was carried out to determine the influence of stand-level differences in mountain pine beetle attack and emergence densities on estimates of stand-level net production of beetles, based on general mountain pine beetle-lodgepole pine parameters obtained from the independent study.

1) Data from Shore et al. (2000) were used to obtain measures of the relationships between diameter-at-breast-height (DBH) vs. percent tree mortality, and DBH vs. net brood production (i.e., number of beetles that emerge from an individual tree minus number of beetles that attacked that tree), respectively. The relationship between DBH and percent tree mortality was obtained by calculating the proportion of lodgepole pine killed for each diameter class (i.e., 0-5 cm, 5-10 cm, etc.). To determine the relationship between DBH and tree-level net brood production, the following relationships were calculated: (i) DBH vs. attack height, (ii) DBH vs. number of attacks per m<sup>2</sup> at 1.4 m above ground (attacks were multiplied by 1.6 to compensate for the skewed sex ratio (Reid 1963)), (iii) DBH vs. number of emerged beetles per m<sup>2</sup> (the number of emerged beetles was corrected as per Safranyik and Linton (1985) (see equation 2 below) to compensate for the fact that more than one beetle will emerge per hole at high attack densities). The total number of attacks and total number of emerged beetles per tree were then estimated according to (Safranyik 1988), taking the taper of the trees and the lower attack densities higher up along the bole into account. Based on these calculations, a formula was developed to estimate the net brood production for a tree with a given DBH, taking the DBH-related probability of attack into account.

2) Field data to calculate beetle productivity for individual stands were obtained by intense sampling of 26 lodgepole pine stands, where a mountain pine beetle infestation had run its course or was close to the end. The stands were widely distributed within British Columbia, Canada (Table 1). Within each stand, 8-11 variable radius plots were established according to the following procedure. A transect line was laid out at a random bearing, and plots were placed along the transect with random distances of between 80-120 m separating them. If the boundary of the stand was reached, a new transect was established at a random distance of between 80-120 m, parallel to the original transect. Within each plot, a prism (BAF 5) was used to select trees for sampling. On each tree, at 1.4 m above ground, the number of attacks and the number of exit holes were counted within 15 x 30 cm rectangles, one on the north- and one on the south-facing side of the tree. Ventilation holes and exit holes made by other insects can look very similar to mountain pine beetle exit holes (Safranyik and Linton 1985), so to make sure that we only included mountain pine beetle exit holes, we also removed the bark and examined the associated gallery systems.

3) Since trees were selected with prisms, we calculated a density factor for each tree as follows {Husch, Beers, et al. 2003 #3069} (Husch et al. 2003):

$$\text{TDF} = \text{BAF} \times (\text{T}_N / (\text{BAF} \times \text{P}_N)) \quad (1)$$

Where: TDF = tree density factor (trees/ha), BAF = basal area factor, T<sub>N</sub> = number of trees sampled, and P<sub>N</sub> = number of plots sampled.

The generalized net brood production of each stand, defined as the net brood production based on the beetle-lodgepole pine parameters from the independent study (Shore et al., 2000), was then calculated for each of the 26 stands as the sum of the net brood production for each sampled tree, multiplied by the tree density factor for that tree.

4) The stand-specific net brood production per ha, defined as the net production based on stand-specific beetle attack and emergence data from sampling, was calculated as in “step 3” except that: (i) a stand-specific number of attacks per unit area, multiplied by 1.6 to compensate for the skewed sex ratio normally found in mountain pine beetle populations (Reid 1963), was inserted in the formula described in “step 2” instead of the value from the independent study, and (ii) a stand-specific regression line, representing the relationship between DBH and number of exit holes per unit area sampled in that stand, was used in the formula described in “step 2”, instead of the generalized regression line based on the independent dataset. We calculated a stand-specific intercept of the line based on the mean DBH and the mean number of exit holes per unit area for all trees within a stand. We assumed that the slope of this line is always the same. Emergence was adjusted to compensate for the fact that, according to (Safranyik and Linton 1985), more than one beetle emerges from each exit hole at high attack densities (equation 2).

$$y = 1.2635x - 0.3300 \quad (2)$$

Where:  $y = \log(\text{number of emerged mountain pine beetles} / 0.125 \text{ m}^2)$ ,  $x = \log(\text{number of holes in the bark} / 0.125 \text{ m}^2)$ .

A stand susceptibility index (SSI) was calculated for each of the 26 stands sampled. We used a modification of the Shore and Safranyik (1992) system where some discrete functions had been replaced with continuous functions according to Shore et al. (2006).

To determine if the estimated net brood production can provide an additional aid for stand prioritization, we calculated the correlation between stand susceptibility index and stand net brood production. We should expect a correlation since a stand's net brood production is related to the four variables that are used to calculate the index, i.e., percentage of susceptible pine basal area, age, tree density and location (latitude, longitude and elevation). If this correlation explains most of the variation, then we would conclude that the estimated net brood production would not add to the index, and hence would not be a useful tool for stand treatment prioritization.

**Table 1.** Parameters related to the net production of *Dendroctonus ponderosae* and stand characteristics of the studied lodgepole pine forests in British Columbia, Canada.

Forest district	Latitude (N)	Longitude (W)	Elevation	# Plots	# Pines <sup>1</sup>	% Pine	Age	DBH of pine	Attack density <sup>2</sup>	Intercept <sup>3</sup>
Prince George	53.6	123.0	855	10	84	79	120	24	126	493
Prince George	53.4	123.0	872	10	68	82	103	23	105	642
Prince George	53.4	123.7	783	11	86	88	147	18	110	457
Prince George	54.4	122.6	764	10	71	86	115	20	135	383
Prince George	54.2	122.8	751	10	83	76	82	23	104	164
Prince George	53.7	123.4	950	11	110	75	124	17	84	457
Prince George	53.7	123.4	850	11	60	79	151	20	86	-38
Prince George	53.8	123.5	883	10	111	97	89	16	91	871
Prince George	53.5	123.3	765	10	67	68	116	20	161	165
Prince George	53.4	123.7	898	10	78	82	137	16	82	708
Vanderhoof	53.6	124.9	850	10	75	95	58	15	145	476
Vanderhoof	53.9	124.4	1013	10	80	90	89	17	105	539
Vanderhoof	53.9	124.4	805	10	90	99	65	16	108	131
Vanderhoof	53.9	124.4	832	10	92	86	61	16	722	666
Vanderhoof	53.8	124.3	735	10	102	84	93	19	147	351
100 Mile House	51.6	121.4	1147	10	41	64	62	14	132	959
100 Mile House	52.0	121.3	956	10	95	99	120	27	73	733
100 Mile House	52.0	121.2	1003	10	123	94	122	23	789	545
Columbia	51.1	116.5	1302	10	43	39	124	30	136	127
Columbia	51.2	116.6	1120	10	57	67	119	26	160	-78
Columbia	51.2	116.6	1140	10	75	93	84	20	188	388
Rocky Mountain	49.6	116.2	1500	8	61	91	111	19	148	482
Rocky Mountain	49.6	116.2	1756	10	101	92	107	12	88	499
Rocky Mountain	49.6	116.2	1473	10	99	99	110	15	163	233
Rocky Mountain	49.6	116.2	1707	10	49	74	105	19	131	746
Rocky Mountain	49.6	116.2	1296	10	50	57	94	14	152	226

<sup>1</sup> = Number of pines that were sampled.

<sup>2</sup> = Mean number of attacks per m<sup>2</sup> (adjusted for skewed sex ratio) at 1.4 m above ground.

<sup>3</sup> = Intercept value for the stand-specific version of equation 6 which was used to calculate the DBH related number of emerged beetles per m<sup>2</sup> (see Material and methods).

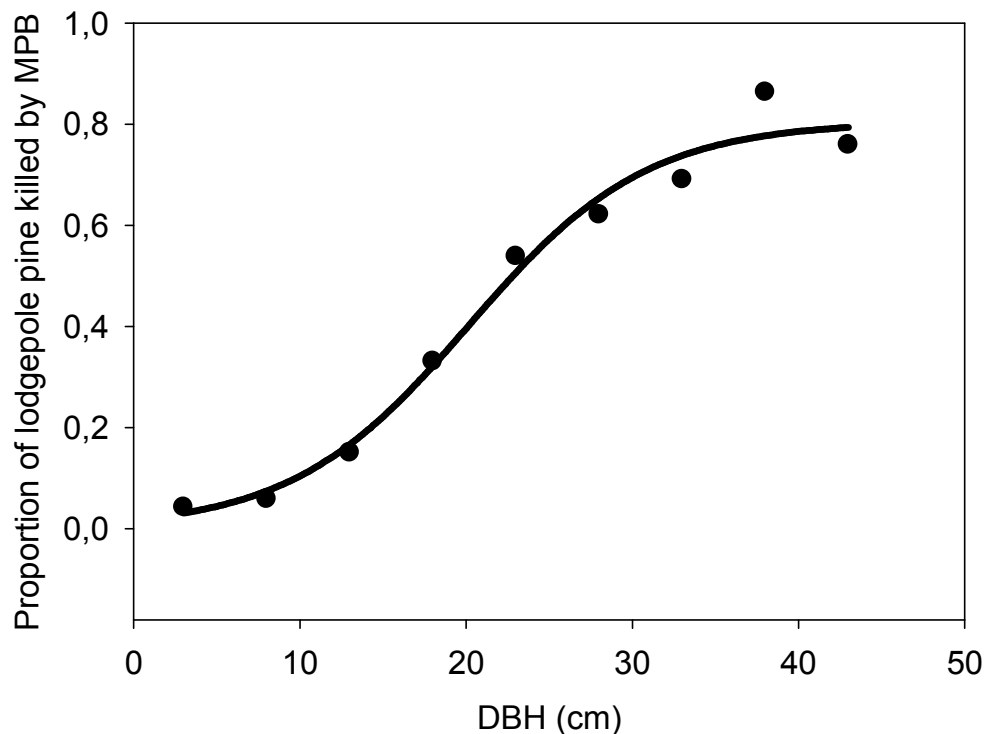


The relationship between a stand's net brood production and its stand susceptibility index is, to a large extent, determined by the relationships between DBH vs. tree-level net brood production and DBH vs. basal area. Basal area, i.e., the area of the cross-section of a tree trunk at breast height, is the metric used in the stand susceptibility rating system to quantify tree mortality, and increases exponentially with increasing DBH. The net production of beetles per tree also increases exponentially with increasing DBH. We generated curves of the rate of increase for these two relationships to determine the tree diameters that contribute most, per tree, to any discrepancy between net brood production and stand susceptibility rating. The estimated generalized net brood production was calculated according to "step 1" described above.

Risk Rating Software v.3 (<http://cfs.nrcan.gc.ca/subsite/mpb/susceptibility-risk> Accessed 10 Feb. 2009), was used to calculate stand susceptibility index. The rest of the data analyses were performed using SigmaPlot® 9.0 (Systat Software Inc, Point Richmond, CA, USA).

## Results

Equation 3, 4, 5, 6, 7, 8, and 9 presented below were calculated from the independent study and represent the "general" mountain pine beetle-lodgepole pine parameters:



**Figure 1.** Relationship between the DBH of a lodgepole pine tree and its probability of being killed by mountain pine beetles (MPB), *Dendroctonus ponderosae*. The relationship could be characterized by a three-parameter sigmoid equation.

DBH (cm) vs. proportion of lodgepole pine killed by mountain pine beetle

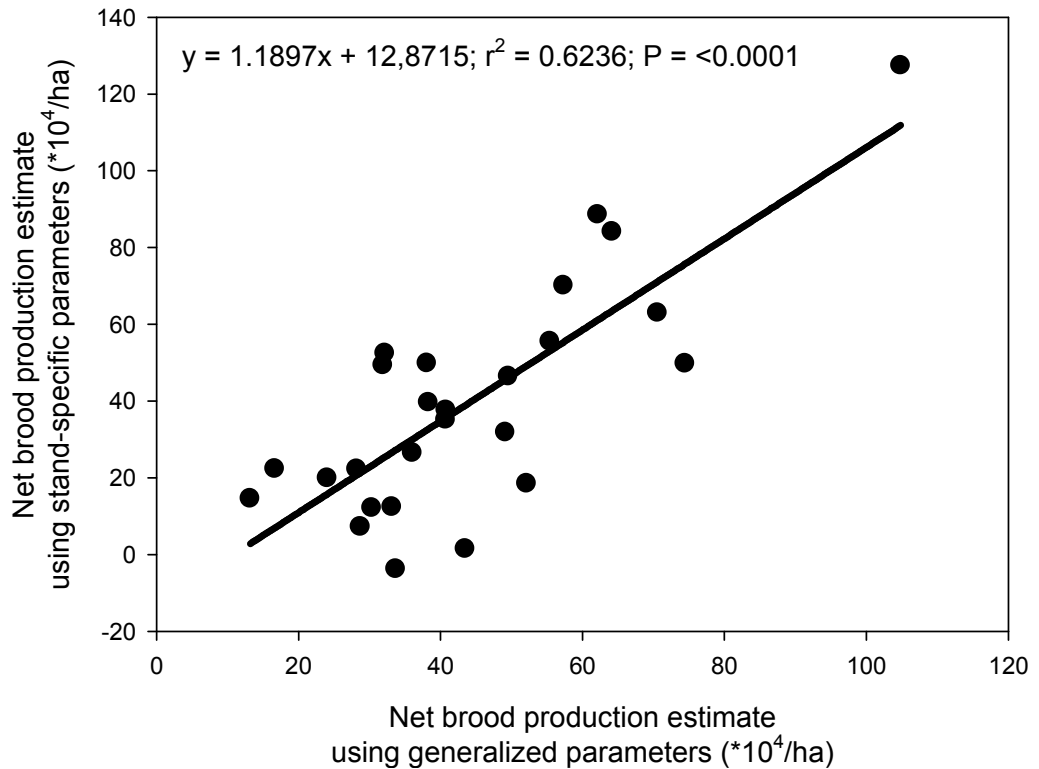
(Fig. 1):

$$y = a/(1+\exp(-(x-x_0)/b)), \quad (3)$$

$a = 0.8049, b = 5.3411, x_0 = 20.1662; R^2 = 0.98; P = <0.0001$

DBH (cm) vs. attack density per m<sup>2</sup> (adjusted for skewed sex ratio):

$$y = 0.9395x + 130.4526; r^2 = 0.0063; P = 0.2545 \quad (4)$$



**Figure 2.** Relationship between estimates of net brood production based on generalized *Dendroctonus ponderosae-Pinus contorta* parameters vs. stand-specific parameters, i.e. attack and emergence data from Shore et al. (2000) vs. from that specific stand. Each dot represents one stand.

Since there was no significant relationship between DBH and attack density (equation 4) the mean attack density was used instead (equation 5).

Mean attack density per m<sup>2</sup>:

$$y = 153.7305 \quad (5)$$

DBH (cm) vs. number of emerged beetles per m<sup>2</sup>:

$$y = 36.8046x - 399.0261; r^2 = 0.0478; P = 0.002 \quad (6)$$

DBH (cm) vs. attack height (m):

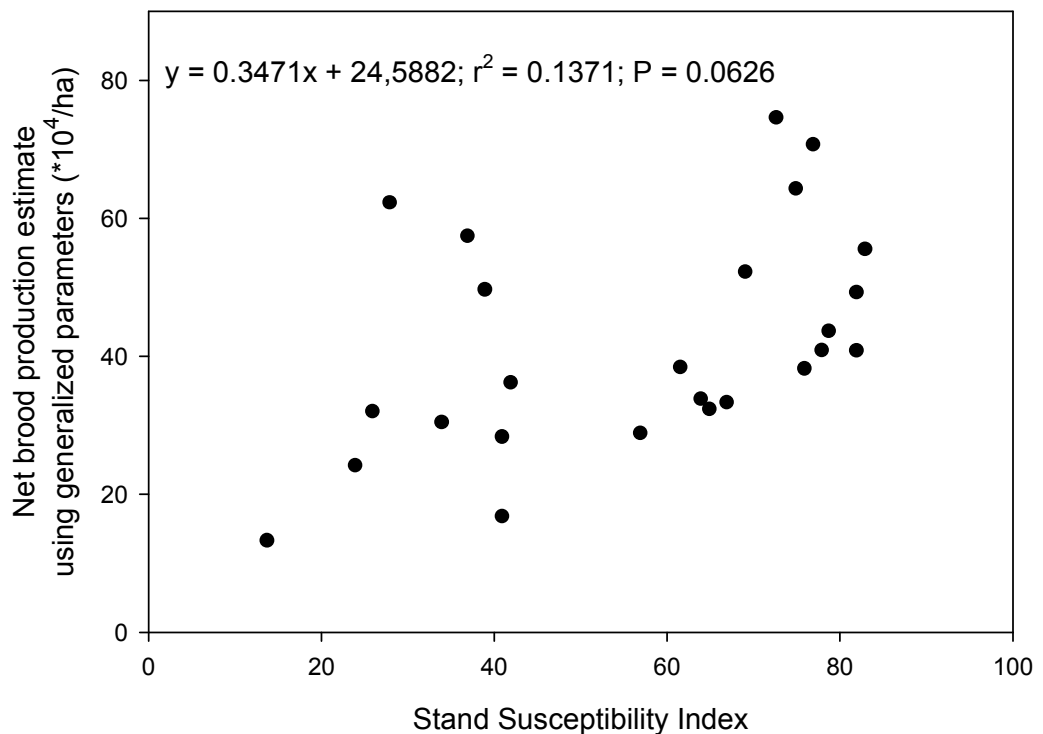
$$y = 0.3320x - 1.0589; r^2 = 0.3040; P = <0.0001 \quad (7)$$

The mean number of beetles attacking a tree with a certain DBH, including the probability that the tree will be attacked, was calculated as follows (based on equation 3, 5, and 7 from the present study and equation 13 in Safranyik (1988):

$$y = (3)(5)(7)(13 \text{ in Safranyik 1988}) \quad (8)$$

The mean number of beetles emerging from a tree of a given DBH, including the probability of attack on that tree, was calculated as follows (based on equations 3, 5, 6 and 7 above and equation 14 in Safranyik (1988):

$$y = (3)(5)(6)(7)(14 \text{ in Safranyik 1988}) \quad (9)$$



**Figure 3.** Relationship between stand susceptibility index and estimates of net brood production based on stand-specific *Dendroctonus ponderosae-Pinus contorta* parameters. N.B.: The aim of the stand susceptibility index is not to predict net brood production.

The mean net brood production for a tree of a given DBH, including the probability of attack for that tree, was calculated as follows (based on equations 8 and 9):

$$y = (9) - (8) \quad (10)$$

The stand-specific parameters from the twenty-six stands that were intensively sampled in the present study are given in Table 1. There was great variation in mountain pine beetle productivity among stands, which was mainly due to large variation in the number of beetles that emerge per unit area (Table 1, Intercept values for the stand-specific version of the equation that were used to calculate the DBH related number of emerged beetles per m<sup>2</sup>).

The relationship between estimates of net brood production per ha, based on generalized vs. stand-specific mountain pine beetle-lodgepole pine parameters, is shown in Fig. 2. This relationship can be described with the following equation:

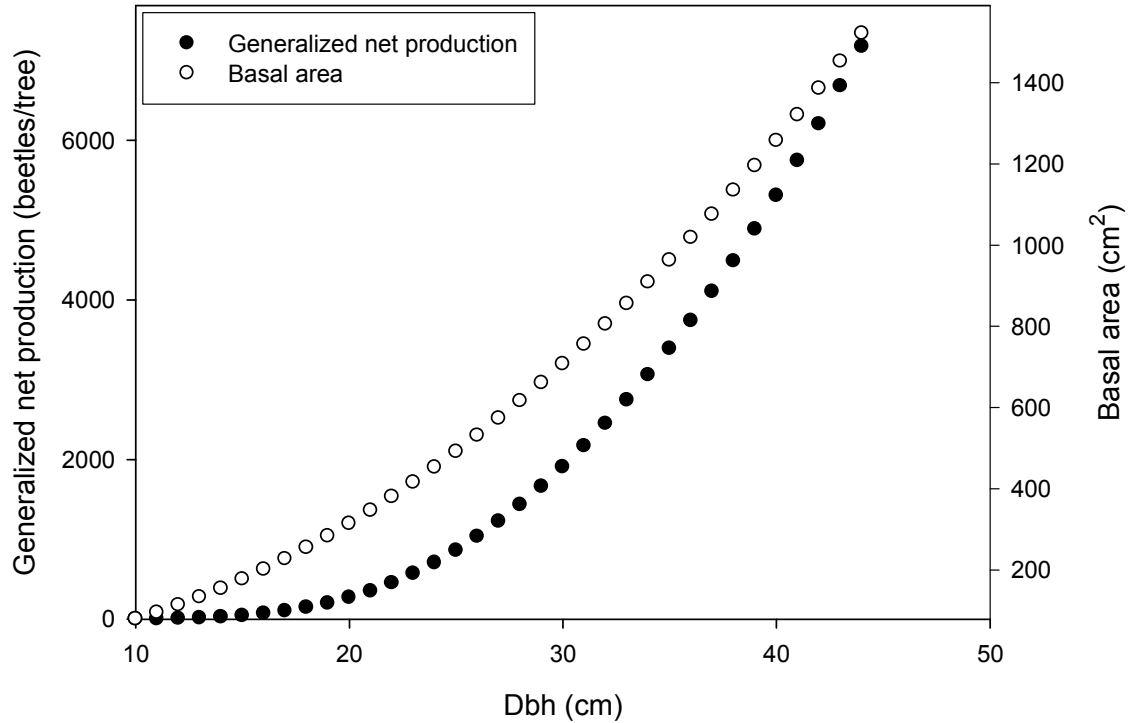
$$y = 1.1897x + 12.8715; R^2 = 0.6236; P = <0.0001 \quad (11)$$

There was no significant relationship between Stand Susceptibility Index ratings and stand-specific net brood production estimates (Fig. 3, equation 12).

$$y = 0.3471x + 24.5882; R^2 = 0.1371; P = 0.0626 \quad (12)$$

The relationship between DBH and basal area is described in equation 13 and plotted in Fig. 4. For comparative purposes, the relationship between the DBH of a lodgepole pine and its net brood production (described in equation 10) is also plotted in Fig. 4.

$$y = \pi(x/2)^2 \quad (13)$$



**Figure 4.** Relationship between a tree’s DBH and its estimated net brood production based on generalized *Dendroctonus ponderosae-Pinus contorta* parameters (including the probability of beetle caused mortality (see text). To make a comparison to measures that are based on basal area possible the DBH vs. basal area relationship were also plotted.

## Discussion

The objective of this study was to develop a simple index of mountain pine beetle net brood production based on stand parameters and known tree-insect interactions. We assumed that in addition to potential stand volume losses, treatment priority should also take into account the potential contribution by a stand to the next generation of beetles. In other words, we assumed that there is a direct relationship between the number of brood beetles contributed by a stand to the next generation, and the impact on outbreak progression by treatment activities such as harvesting. Thus, when assigning harvesting priority among stands with similar susceptibility scores, those with the highest estimated net production of beetles should be given preference.

As expected, there was great variation in beetle productivity among similar sized trees in different stands. This variation may be due to variation among stands with regard to climatic suitability, host defenses, etc. (Safranyik and Carroll, 2006). However, this variation had only a minor influence compared to that of the tree diameter distribution on a stand’s net production of brood beetles (Fig. 2).

There were two reasons why larger trees generally produced more beetles than the small trees: (i) the relationship between tree diameter and percent beetle-caused tree mortality tended to follow a sigmoid distribution with larger trees being attacked more frequently (Fig. 1); and (ii) among attacked trees the larger ones generally had a

higher net production of beetles. The higher net production was due to larger trees having a higher maximum height of attack, i.e., they were attacked over a much larger surface area than smaller diameter trees, they produced more beetles per m<sup>2</sup> of bark at DBH, and other general factors that are summarized in Safranyik (1988).

Estimates of net brood production can provide an additional aid for stand prioritization since the stand susceptibility index explains little of the variation in net brood production (Fig. 3). The largest trees contributed most, per tree, to the discrepancy between net brood production and stand susceptibility rating (Fig. 4). For example, a 35 cm DBH tree have a basal area that is approximately twice as large as a 25 cm DBH tree whereas the net production of beetles is more than three times as high in a 35 cm DBH tree compared to a 25 cm DBH tree. Thus, the higher the proportion of a tree that is made up of large trees the larger the discrepancy between net brood production and stand susceptibility.

The estimates of the net brood production should be treated with some caution since they are based on a limited number of parameters and, most likely, simplified relationships. It is, for example, likely that the calculated net production in small trees is slightly overestimated since we used a linear regression to represent the relationship between DBH and number of emerged beetles per m<sup>2</sup>. In reality the net production probably drops sharply when the phloem is too thin for the beetle to survive. This has, however, only a minor influence on the stand-level net production estimate.

The precision of the net brood production estimates based on generalized parameters can probably be improved if the larger dataset, which we used to evaluate the model in the present study, was used to calculate the mountain pine beetle-lodgepole pine parameters, i.e., DBH vs. brood production per m<sup>2</sup>, etc. We also used the Shore and Safranyik (1992) location factor in the calculation of the stand susceptibility index. Björklund and Lindgren (submitted manuscript) showed by meta analysis that the location factor explained 53% of the variation of stand-level mortality of lodgepole pine during mountain pine beetle outbreaks. Changing climatic conditions have led to the development of a more sensitive climatic suitability index (Carroll et al., 2004), so it is possible that further improvement might be accomplished if this index is incorporated in the model (Cudmore, in prep.).

To our knowledge, this is the first time that stand parameters have been used to predict insect population parameters for the explicit purpose of forest management. The approach used in this study, i.e., to evaluate the precision of net beetle production estimates made before the host is attacked, may be useful also in other systems with aggressive bark beetle species where managers need to rank areas in order of priority with regard to treatments.

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