

# Characterizing the state and processes of change in a dynamic forest environment using hierarchical spatio-temporal segmentation

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**Pre-print of published version.**

**Reference:**

Gómez, C., J.C. White, and M.A. Wulder. (2011). Characterizing the state and processes of change in a dynamic forest environment using hierarchical spatio-temporal segmentation. *Remote Sensing of Environment*. Vol. 114, No. 7, pp. 1665-1679.

**DOI.**

<http://dx.doi.org/10.1016/j.rse.2011.02.025>

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Key words: Landsat, spectral trajectory, Tasseled Cap Angle, TCA, Process Indicator, PI, forest, change, hierarchical spatio-temporal segmentation, monitoring, landscape pattern, landscape process

1 **Abstract**

2 Discrete changes in forest abundance, distribution, and productivity are readily detectable using a  
3 number of remotely sensed data sources; however, continuous changes such as growth and  
4 succession processes are more difficult to monitor. In this research we explore the potential of  
5 spectral trajectories generated from a 35-year (1973–2008) time-series of Landsat imagery to  
6 characterize change processes in a dynamic forest environment in northwestern Alberta, Canada.  
7 We propose a method of hierarchical spatio-temporal segmentation that enables the  
8 characterization of change processes that are spatially diffuse and temporally imprecise.  
9 Calibrated imagery from Landsat sensors are radiometrically normalized and two metrics derived  
10 from the Tasseled Cap Transformation components, greenness and brightness, are used to  
11 generate the Tasseled Cap Angle (TCA). The TCA is a measure of the proportion of vegetation to  
12 non-vegetation (the occupation state), and its derivative, the Process Indicator (PI), is a measure  
13 of change in this proportion through time. These indices condense information from the visible  
14 and near-infrared wavelengths, and facilitate lengthy time series analysis of forest landscape  
15 change using data from all Landsat sensors.

16 A combination of the original TCA and its derivative sequence are input to a three level  
17 hierarchical segmentation process with the highest and lowest levels defining homogeneous  
18 objects at the initial and final date, and the intermediate level identifying trajectories with similar  
19 change processes. The development through time of the TCA and PI are described, and the spatial  
20 and temporal associations of processes are statistically assessed using the Moran's Index.

21 A full range of change types were identified on the landscape, from stand replacing  
22 disturbances to more subtle growth and succession processes. Results indicate that the study area  
23 is in a constant state of change, and maintains a high average proportion of vegetation to non-  
24 vegetation. The amount of total landscape modified per decade increased from 18% and 14% in  
25 the 1970s and 1980s respectively, to more than 30% and 33% in the 1990s and 2000s. On  
26 average, the proportion of vegetation to non-vegetation was increasing prior to 1981, decreasing  
27 between 1981 and 1997, and increasing post-1997. There was a high degree of spatial  
28 autocorrelation amongst change processes, with a maximum Moran's I of 0.79 in 1973; landscape  
29 change became more spatially disperse and widespread after 1981. Temporal correlation of  
30 change processes was observed locally, with the period 1990-1995 having the most persistent  
31 change.  
32

33 **Introduction**

34 Forests are naturally dynamic ecosystems in continuous change with a key role in water (Van  
35 Dijk and Bruijnzeel, 2001) and carbon cycles (Muukkonen and Heiskanen, 2007), and in wildlife  
36 habitat quality (Nadkarni et al., 2004). Ecological benefits provided by forests depend on the  
37 stage of development, health condition, spatial distribution, and structural characteristics (Spies et  
38 al., 1994; Wulder et al., 2008a; Numa et al., 2009). The ecological and economic services  
39 delivered by forests are markedly altered after disturbances such as fire or harvest, and are more  
40 steadily modified when subtle growth, natural succession, or decay occur.

41 Insights into patterns, rates, and trends of landscape changes are necessary to understand  
42 forest dynamics, enable preservation, and assess the effectiveness of management approaches  
43 (Hayes & Cohen, 2007; Huang et al., 2009a). Remotely sensed data have become a major  
44 information source for change detection (Lu et al., 2004) and are possibly the only feasible and  
45 cost-effective option for extensive areas (Lunetta et al., 2004). The Landsat series of satellites, the  
46 first of which was launched in 1972, provides a lengthy temporal sequence of images, and is  
47 unique among Earth observing satellites with imagery systematically collected to ensure global  
48 coverage, processed to an end-user applications ready state, and available via the Internet without

49 cost. The spatial resolution (30 m), revisit cycle (16 days), and spatial extent (185 km x 185 km)  
50 of Landsat data are well suited to characterizing forest change (Wulder et al., 2008b).

51 Our goal is to explore the capacity of spectral trajectories generated from a 35-year time-  
52 series of Landsat images for exploration and analysis of spatially and temporally diffuse change  
53 in a dynamic forest environment. For this purpose we develop a *hierarchical spatio-temporal*  
54 *segmentation* method that combines information at various spatial and temporal resolutions; the  
55 persistence of relations between objects at the multilevel scale is assured by its hierarchical  
56 character. Specific objectives of this study are:

- 57 1. To characterize forest landscape change using an index generated from the Tasseled Cap  
58 Transformation components Greenness and Brightness, as well as the first derivative of  
59 this index. This index characterizes the proportion of vegetation to non-vegetation in a  
60 pixel and uses spectral channels that enable bridging across all Landsat sensors.
- 61 2. To incorporate both spatial and temporal properties into a hierarchical segmentation  
62 process to capture landscape-level change and incorporate spatial information regarding  
63 these change units through time.
- 64 3. To analyze the spatial and temporal correlations of changes through time over an area with  
65 changing amounts, rates, and related spatial distributions of disturbance in a study area  
66 important from both ecological (habitat) and economic perspectives.

## 67 **Background**

### 68 *1.1 Disturbances and subtle change*

69 Abundant research effort has focused on the assessment of disturbances in large area monitoring  
70 programs. Stand replacing disturbances, such as clearcuts and wildfires that drastically modify the  
71 landscape and require a lengthy period of time to recover their initial state, can be detected with  
72 confidence using remotely sensed data (Coops et al., 2006), particularly Landsat data. For  
73 example, Cohen et al. (1998) applied and compared various methods for mapping clearcuts in  
74 Western Oregon, achieving results with greater than 90% accuracy. In the same region, Cohen et  
75 al. (2002) characterized the rate and distribution of stand replacing disturbance over a 23-year  
76 period with MSS and TM images, finding public land more affected by natural disturbance, while  
77 private land was more intensely harvested. Healey et al. (2005) compared the ability of four  
78 Tasseled Cap (TC) structures in detecting harvest disturbance; a newly developed Disturbance  
79 Index (DI) was the best performer in areas with slower succession rates. The DI was later used by  
80 Masek et al. (2008) to compile a 10-year record of forest disturbances in North America,  
81 reporting omission errors of 30-60% and commission errors of 20-30%.

82 Less studied is the characterization of subtle, slow, continuous change related to partial  
83 harvest and natural regeneration or decay processes, which have less obvious effects on the  
84 landscape (Coops et al., 2006). Forest successional stages have been described (Cohen et al.,  
85 1995; Jakubauskas, 1996; Helmer et al., 2000), but studying the transitions between development  
86 stages is less common: Peterson and Nilson (1993) described trajectories of reflectance change in  
87 secondary succession of mono- specific birch and pine stands in Estonia; Schroeder et al. (2007)  
88 characterized patterns of recovery post-harvest in Western Oregon, and Vogelmann et al. (2009)  
89 characterized forest decline and mortality caused by persistent insect defoliation from 1988 to  
90 2006 in New Mexico.

### 91 *1.2 Time series of images and spectral trajectory*

92 Two images acquired at different dates may be sufficient for identifying landscape change  
93 (Coppin & Bauer, 1996); however, the use of more than two image dates is recognized as a

94 superior technique when the objective is to characterize the rate of change (as opposed to just the  
95 presence or absence of change) (Goodwin et al., 2008). A time series of remotely sensed images  
96 enables the identification of a greater range of processes (Gillanders et al., 2008) as well as the  
97 characterization of temporal patterns. Dense time-series are particularly useful for detecting  
98 change in very dynamic forests with a fast recovery rate (Huang et al., 2009b; Lunetta et al.,  
99 2004). Interpretation of a sequence of images, or temporal trajectory, makes it possible to  
100 characterize vegetation dynamics on different temporal scales (Bontemps et al., 2008). With the  
101 extensive Landsat image archive of the USGS being made freely available to the public  
102 (Woodcock et al., 2008) it has become possible to obtain a considerable number of images for  
103 long-term monitoring of ecosystems and for trajectory analysis approaches (Linke et al., 2009).

### 104 1.3 Object analysis approach for change detection

105 Object-based analysis has increased in the Earth Observation community in the last decade (Hay  
106 et al., 2005; Blaschke, 2010) as an alternative to pixel based analysis. Among the strengths of  
107 object-based analysis for change detection are the reduction of misregistration and shadowing  
108 effects (Johansen et al., 2010) and the inclusion of contextual information.

109 The spatial resolution of the imagery selected is crucial in the definition of objects  
110 analogous to forest stands. Landsat medium spatial resolution is well suited to the detection of  
111 change in forest environments at the stand level. The study of change with an object approach,  
112 and particularly the definition of objects can be done in a number of ways: if using various  
113 images, the segments can first be defined on a reference image and compared later in other dates  
114 (Hall and Hay, 2003); alternatively, objects could be defined by a pre-existing GIS layer as in  
115 Walter (2004); a third approach is the simultaneous segmentation of various dates of images  
116 (Desclée et al., 2006; Bontemps et al., 2008).

## 117 **Methods**

### 118 1.4 Study area

119 The study area covers 13,818 km<sup>2</sup> of the Foothills boreal forest region (Rowe, 1972) on the  
120 eastern side of the Rocky Mountains, Alberta, Canada (Figure 1). It is a transition zone between  
121 boreal and sub-alpine forest regions with lodgepole pine (*Pinus contorta* Dougl.ex Loudon),  
122 trembling aspen (*Populus tremuloides* Michx), and balsam poplar (*Populus balsamifera* L.) as  
123 prevalent pioneer tree species appearing after catastrophic events. Other species normally found  
124 in older stands are white spruce (*Picea glauca* (Moench) Voss) and black spruce (*Picea mariana*  
125 (Mill.) BSP) and less frequently white birch (*Betula papyrifera* Marsh.), tamarack (*Larix laricina*  
126 (Du Roi) K. Koch), balsam fir (*Abies balsamea* (L.) and alpine fir (*Abies lasiocarpa* (Hook.)  
127 Nutt.). Elevation ranges from 600 to 2500 m.

128 The area is rich in live and fossilized natural resources (Alberta Sustainable Resource  
129 Development, 2009) and provides important habitat for grizzly bear (*Ursus arctos* L.) (Nielsen et  
130 al., 2004) and woodland caribou (*Rangifer tarandus caribou* Gmelin). Industrial extraction  
131 activities such as oil and gas, mining, and forest harvesting have been ongoing since the 1950s  
132 (Andison, 1998), with an increased intensity in recent decades (Schneider et al., 2003).

133 <Insert Figure 1 around here>

### 134 1.5 Data

135 We used a time sequence of fourteen images (Table 1) acquired between 1973 and 2008 by the  
136 Landsat series of satellites with various sensors: the Multi-Spectral Scanner (MSS), the Thematic  
137 Mapper (TM), and the Enhanced Thematic Mapper Plus (ETM+). All images were selected  
138 within the summer and early fall seasons for consistency in forest phenological condition (Wulder

139 et al., 2004). Images were obtained from the United States Geological Survey (USGS), the Global  
140 Land Cover Facility (GLCF), and the Canada Centre for Remote Sensing (CCRS) archives.

141 <Insert Table 1 around here>

### 142 1.6 Image Preprocessing

143 Preprocessing of a sequence of images for change detection has two critical stages: spatial  
144 registration to assure positional coincidence of features, and radiometric calibration and  
145 normalization to ensure changes in spectral reflectance correspond to actual change events.  
146 Failure to correctly perform either of these two could trigger significant errors in the analysis and  
147 lead to misinterpretation of change events (Lu et al., 2004).

148 All but two of the images were acquired in an orthorectified format. The two images  
149 received in raw format were geometrically corrected using Toutin's model (PCI Geomatica) and  
150 registered to the 1995 TM base image using 250 Ground Control Points (GCPs) and the thin plate  
151 spline algorithm. All of the MSS images were resampled from their original 57 m spatial  
152 resolution to 30 m. Finally, an image-to-image registration was used to co-register all of the  
153 images to the base image with a RMS error of less than 30 m (1 pixel).

154 Robust radiometric preprocessing is essential for monitoring landscape change (Lu et al.,  
155 2004) and for linking images with biophysical phenomena (Gong and Xu, 2003); it is particularly  
156 challenging if images from various sensors are included in the analysis (Roder et al., 2005). We  
157 used the approach of Han et al. (2007) to convert digital numbers to Top of Atmosphere (TOA)  
158 radiance with coefficients recommended by Chander et al. (2009). Greenness and Brightness  
159 components of the Tasseled Cap Transformation (TCT) (Kauth and Thomas, 1976; Crist and  
160 Cicone, 1984; Huang et al., 2002) were calculated and normalized to the reference image  
161 Greenness and Brightness, as in Powell et al., (2008). For relative radiometric normalization we  
162 applied IR-MAD (Iteratively Reweighted Multivariate Alteration Detection) (Canty et al., 2004)  
163 as recommended by Schroeder et al., (2006) for temporal spectral trajectories. This automatic  
164 process is based on the invariance property of MAD transformation and performs an orthogonal  
165 linear regression (Canty and Nielsen, 2008) of the target image pixels on to the reference image  
166 pixels; the process is invariant to linear transformations (Nielsen et al., 1998; Canty et al., 2004).  
167 The reference was a Landsat-5 TM image free of clouds and haze, dated 1995, in the middle of  
168 the series. The process of normalization reduces the amount of artifacts due to illumination or  
169 atmospheric variations, enabling more reliable detection of true change (Song et al., 2001).

### 170 1.7 Tasseled Cap Angle (TCA)

171 The Tasseled Cap Transformation (TCT) (Kauth and Thomas, 1976; Crist and Cicone, 1984;  
172 Crist, 1985; Huang et al., 2002) is a linear transform of the original Landsat spectral space that  
173 has been broadly employed in forestry applications (Cohen and Goward, 2004). It has served to  
174 characterize forest structure (Hansen *et al.* 2001; Cohen et al., 2002), condition (Wulder et al.,  
175 2006; Healey et al., 2006), successional state (Peterson *et al.* 1993, Helmer *et al.* 2000), and also  
176 for change detection (Lea *et al.* 2004, Jin and Sader 2005). The first two orthogonal components  
177 of the TCT, Brightness (B) and Greenness (G) define the *vegetation plane* (Crist and Cicone,  
178 1984) (Figure 2, a) and are a practical bridge between MSS and TM-ETM+ imagery (Powell et  
179 al., 2008).

180 The study of forest stands' spectral behavior in the *vegetation plane* provides insights into  
181 forest cover densities (Cohen et al., 1995; Cohen et al., 1998) and forest development stages  
182 (Peterson and Nilson, 1993; Price and Jakubauskas, 1998). The B component is by definition a  
183 positive value, whereas G depends on the contrast between the visible and near-infrared bands

184 (Table 2), with exposed soil having negative values (Gillanders et al., 2008) and vegetated areas  
185 having positive values.

186 <Insert table 2 around here>

187 The Tasseled Cap Angle (TCA), defined as the angle formed by G and B in the  
188 vegetation plane (equation 1), condenses in a single value the information of the relation G/B  
189 (Figure 2, a) and represents essentially the proportion of vegetation to non-vegetation. A range of  
190 studies in coniferous forests have confirmed higher values of G and lower values of B in dense  
191 cover classes when compared to open stands or clearcuts (Cohen *et al.* 1995, Price and  
192 Jakubauskas, 1998). Accordingly, dense forest stands show higher TCA values than more open  
193 stands or bare soil (Figure 2, a). We evaluated the TCA in the study area, assessing values over a  
194 set of 5000 stand replacement disturbance events dated between 1972-2008, finding TCA in  
195 recent clearcuts significantly lower than in any other cover stage of the forest and a clear  
196 increasing tendency with time-since-disturbance (Figure 2, b).

$$197 \quad TCA = \arctan (G/B) \quad (1)$$

198 <Insert Figure 2 around here>

199 The range of values of the TCA is scene dependent, as are the TCT components (Crist  
200 and Cicone, 1984). An absolute assessment of forest density with the TCA would require local  
201 calibration with field data. On the contrary, evaluating relative changes of TCA does not require  
202 calibration: increments or reductions in the proportion of vegetation to non vegetation results in a  
203 concomitant change of TCA values.

204 The TCA images for each date were combined into a single, multi-band image file,  
205 hereafter called *TCA image* for further analysis. To describe the forest landscape cover with the  
206 TCA we define the *occupation state* characterizing categories of proportion of vegetation to non-  
207 vegetation: areas more densely occupied by vegetation have higher values of TCA than areas with  
208 less dense vegetation; the bare soil situation, with zero proportion of vegetation is illustrated with  
209 negative values of the TCA.

### 210 1.8 Image Masks

211 To reduce the detection of false changes, we excluded areas with elevations greater than 1700 m,  
212 water bodies, clouds and cloud shadows prior to analysis. High elevation areas were identified  
213 with a digital elevation model, water bodies were identified with 1:50,000 National Hydrology  
214 Network data; clouds and cloud shadows were identified using a semi-automatic approach for  
215 each image. The area remaining for analysis, after all masks were applied to the *TCA image*, was  
216 approximately 12,740 km<sup>2</sup>.

### 217 1.9 Process Indicator (PI): the TCA derivative

218 The spectral profile of the *TCA image* at each pixel characterizes the evolution or trajectory of its  
219 TCA value over time. Each pixel trajectory was approximated with a Lagrange second order  
220 polynomial (Appendix A), which enables interpolation with uneven intervals among occurrences.

221 The interpolated TCA image was derived with respect to time (years), producing a new  
222 cube with the same number of bands as the TCA image, hereafter called *Process Indicator (PI)*  
223 *image*, where each pixel's spectral profile is the derivative of its corresponding input image's  
224 profile (Figure 3). Values of this new image represent the rate of TCA change over time, and  
225 unlike image difference methods, this technique assigns a value to each input date. The PI profile  
226 is the derivative of a smoothed curve, and is appropriate for detecting continuous subtle changes  
227 such as natural succession and decay, and progressive decadence due to disease or insect attack,  
228 which are difficult to assess with traditional change detection techniques (Coops et al., 2006).

229

<Insert Figure 3 around here>

#### 230 1.10 Hierarchical spatio-temporal segmentation

231 Image segmentation is the partitioning of an image into homogeneous spatial units (Devereux et  
232 al., 2004) based on one or more attributes to facilitate visualization and analysis of spatially  
233 correlated properties; basic subdivisions contain information about raster attributes, shape, and  
234 position. *Hierarchical spatio-temporal segmentation* is a technique for exploration and analysis  
235 of changing properties of the landscape at various spatial and temporal scales: an image is divided  
236 in a hierarchy of levels, each one inheriting or passing on the boundaries of its objects to the  
237 subsequent level. The attributes of the spatial units, e.g. the spectral trajectory can be analyzed.  
238 The underlying assumption is that forest *change processes* are spatially and temporally correlated  
239 at certain scales.

240 There is no unique and singular solution to how an image partitions the landscape  
241 (Burnett and Blaschke, 2003) for ecological analysis and no single spatial scale is optimal for  
242 characterizing the multiple options in which the image can be divided (Hay et al, 2005).  
243 Attempting to interpret processes with a multi-scale segmentation requires the definition of  
244 semantic rules to relate lower level landscape units to higher levels of organization (Burnett and  
245 Blaschke, 2003). Three is the minimum number of levels recommended for landscape analysis  
246 (O'Neill, 1986).

247 The current landscape in the study area is highly fragmented as a result of natural factors  
248 and human activities (Andison 1998), and spatial units at the same *occupation state* are smaller  
249 than a few decades ago. We define two levels of segmentation based on initial (1973) and final  
250 (2008) TCA values (L3 and L1 respectively). The smaller objects in L1 made up larger  
251 homogeneous spatial units at the beginning of the period considered; each of them has evolved  
252 following a different process path. An intermediate *process level* (L2) defined by the *PI* trajectory  
253 (*change process*) links both *state levels* (Figure 4).

254 <Insert Figure 4 around here>

255 We introduce a mixed top-down/bottom-up approach whereby information at higher  
256 levels of the segmentation hierarchy (top: larger objects) is used to derive information at lower  
257 levels of the segmentation hierarchy (bottom: smaller objects) and vice versa (Hay et al., 2001).  
258 The order followed in the definition of objects in a hierarchical multi-scale segmentation of the  
259 landscape incorporating state and processes is important for interpretation and analysis. At the  
260 *state levels* L1 and L3, intra-object TCA variability is smaller than inter-object variability. The  
261 intermediate *process level* L2 acts as a bridge between the actual conditions (2008 TCA) and the  
262 initial state (1973 TCA), its segments have had similar changing path (*PI* trajectory) during the  
263 study period (1973-2008). Adjacent objects in level 2 followed a significantly different evolution  
264 path, and there is more variability in the process path between objects than within objects  
265 (Definiens, 2005).

266 The process of segmentation is performed with Definiens Cognition Network  
267 Technology® (Baatz and Schäpe, 2000; Definiens, 2005). L1 is defined with scale parameter 10,  
268 color-shape 0.7-0.3, smoothness-compactness 0.5-0.5 (Wulder and Seemann, 2003); the scale is  
269 20 for L2 and 50 for L3. In defining the *process level* all *PI* layers are equally weighted.

#### 270 1.11 Spatio-temporal correlation of forest occupation states and forest change processes

271 Once objects were defined, we sought to describe how *occupation states* and *change processes*  
272 were arranged across the study area within single years, and whether the condition of an object in  
273 one year was related to its condition and its neighborhoods' in a subsequent year. Thus, we  
274 required spatial statistics that could be calculated both at a local scale and a global scale, and

275 could include both intra- and inter-year effects. We employed the Moran's Index (Moran, 1948)  
276 statistic (Appendix B) implemented in GeoDa™ which is free software dedicated to spatial data  
277 analysis (Anselin et al., 2006).

278 Moran's I can be interpreted as a spatially weighted form of Pearson's correlation  
279 coefficient (Goovaerts et al., 2005): positive and negative *z-values* point to positive and negative  
280 spatial correlation of objects' values respectively, and a zero value indicates there is no spatial  
281 association in the dataset. The *Moran scatterplot* facilitates visual exploration and interpretation  
282 of the global value of Moran's I (Anselin, 1993) (Figure 5): the distribution of the cloud of points  
283 (observation versus *spatial lag* (neighbor's weighted averaged values)) reflects the pattern of  
284 spatial association, and the slope of the regression line is an estimation of the global Moran's I.

285 <Insert Figure 5 around here>

286 For explicitly spatial description, local associations (clusters) and outliers can be  
287 identified and analyzed with a *Local Indicator of Spatial Analysis* (LISA) (Anselin, 1995). In this  
288 study we implemented the local Moran's I for detection of local patterns of forest *occupation*  
289 *state* and forest *change processes*, and created maps of clusters (*LISA cluster map*) that identify  
290 and classify (*high-high, low-low, high-low* and *low-high*) locations with significant association.

291 Spatial and temporal correlations of objects' TCA and PI values were assessed  
292 independently as a variable evaluated at multiple dates. LISA analysis of univariate data enables  
293 detection of spatial patterns of correlation at a single date. Furthermore, the option of bivariate  
294 LISA analysis facilitates temporal analysis of the spatial correlation, detecting if there is any  
295 association between the variable measured at a reference time and the same variable measured in  
296 the neighborhood at a different time (Anselin, 2003). In all our spatial analysis we defined the  
297 neighborhoods with the first order Queen's contiguity measure, i.e. each object's neighborhood  
298 consists of all other segments sharing some boundary with it.

## 299 **Results**

### 300 *1.12 Hierarchical spatio-temporal segmentation*

301 The hierarchical spatio-temporal segmentation yielded a number of objects at each level of  
302 segmentation with the average size per object shown in Table 3. There are 4.46 L2 objects per  
303 each L3 object on average, and 3.27 L1 objects in each L2 object on average. The average size of  
304 the smaller objects (L1) is approximately 40 ha.

305 <Insert Table 3 around here>

306 Most of the statistical summaries and results shown in following sections concern L1  
307 objects; results at other levels of segmentation show similar trends.

### 308 *1.13 Landscape occupation state--TCA*

309 Considering the entire study area, the mean value of the objects' TCA is consistently greater than  
310 190 over the whole period of analysis, and describes a landscape with a high proportion of  
311 vegetation to non-vegetation. Between 1997 and 2001, the mean TCA was at its lowest, with the  
312 minimum mean TCA occurring in 1997 (minimum average TCA value, Table 4, Figure 6) – the  
313 coincidence of three consecutive late season images in this period encourages a cautious  
314 interpretation. After 2001, TCA values trend upwards, indicating a global average increase in the  
315 proportion of vegetation to non-vegetation.

316 The standard deviation of the TCA (Figure 6, Table 4) indicates that the lowest dispersion  
317 in objects' TCA values occurred before 1990—images from the MSS era, with 6-bit rather than  
318 the 8-bit radiometric resolution of later Landsat sensors encourages cautious interpretation; since  
319 that time, the standard deviation has been higher, with a maximum in year 2001, which was the



320 ceiling of diversity of *occupation states* at L1. As we would expect, from a high cover state,  
321 increased variance implies reduced cover, with non-vegetation locations (stand replacing  
322 disturbances) intermingled with forest stands at various stages of coverage and growth.

323 <Insert Table 4 around here>

324 <Insert Figure 6 around here>

325 The histograms of TCA distribution at all dates are similar, with a maximum occurring  
326 between values 220 and 240, but some differences are apparent (Figure 6). Of particular note is  
327 the variation, by year, of negative TCA values, indicative of non-vegetated areas, and of the high  
328 positive TCA objects that have a high proportion of vegetation or are densely occupied. To gain  
329 better insights of these changes, the range of TCA values over the scene was split in four  
330 categories, with a criterion based on the statistical distribution (the mean TCA, considering all  
331 dates,  $\pm$  one standard deviation (i.e. 140, 310), and zero). Groups were labeled as *Negative* (TCA  
332 below zero, corresponding to non-vegetated objects), *Low*, *Medium*, and *High*, having an  
333 increasing proportion of vegetation to non-vegetation. Objects were classified in these four  
334 groups and their progression through TCA categories analyzed at a quasi-decadal interval: from  
335 1973 to 1981; 1981-1990; 1990-2000; 2000-2008. Objects for which the TCA value changed  
336 category from initial to final date in each decade were counted (Figure 7).

337 <Insert Figure 7 around here>

338 In the 1970s, 17.8% of all L1 objects (5273) changed the *occupation state* enough to  
339 switch TCA category. Among these, 47% evolved from *medium* to *high* and 31% from *low* to  
340 *medium*: there was a clear net change towards higher densities and abundant interchange in the  
341 *high* and *medium* groups— areas with high coverage and also common change events inducing  
342 average TCA variations. In the 1980s, 13.8% of all L1 objects (4083) changed their *occupation*  
343 *state* sufficiently to switch TCA category. The *high* to *medium* and vice versa changes were again  
344 marked, with a net 11% change from *high* to *medium*. *Medium* to *low* changes accounted 29% of  
345 all changing objects; the overall change was towards lowering density. In the 1990s, 30.4% of all  
346 L1 objects (8989) switched the *occupation state* sufficiently to move TCA category, relating a  
347 transition over the landscape towards lower canopy cover densities: more than half of the changes  
348 in TCA category (56%) occurred from the *high* group to the *medium* group, followed by  
349 transition from *medium* to *low* (22%).

350 The last period analyzed, 2000-2008, experienced the highest rate of TCA category  
351 switches: 9972 L1 objects (33.7% of the total) swapped *occupation state* group. Among these,  
352 60% exchanged from *medium* to *high*, 22% from *low* to *medium*, and 9% moved from *high* to  
353 *medium*. Despite the frequency of transformations produced in this time period, the global  
354 average *occupation state* was maintained (Figure 6).

355 We considered all mathematical options of transition amongst these TCA categories; in  
356 reality, however, frequent swaps at the spatial scale considered only occurred between adjacent  
357 groups, reflecting that changes of *occupation state* at the landscape level occur in a progressive  
358 manner. Transitions such as *high* to *negative*, *high* to *low*, or *negative* to *high* were infrequent or  
359 nonexistent in the study area at the time and spatial scale considered; such drastic changes would  
360 reveal alterations in *occupation state* produced by typical stand replacing disturbances such as  
361 fire, windthrow, or an accumulation of forest harvesting.

362 Summarizing change by decade is a useful approach, but sometimes a more detailed  
363 temporal examination is necessary for detecting trends. The total number of objects in each TCA  
364 category (Figure 7 left inset) reveals changing tendencies and aids in understanding fluctuations  
365 in the global average (Figure 6). TCA *medium* category objects are significantly more common  
366 than any other group between 1973 and 2008, oscillating between 67% and 84% of the total  
367 number of objects. The diminution of *high* objects between 1997 and 2001 is noteworthy and

368 mathematically explains the decrease in the TCA global average (Figure 6). *Negative* and *low*  
369 categories of TCA are the least common objects for all dates, with a slight increment in *low*  
370 objects between 1997 and 2000; but late season images used to study this period (1997-2000)  
371 could somehow have conditioned lower values of the TCA.

#### 372 1.14 Landscape change processes—PI

373 The average PI value describes the global state of change at the landscape level; assessing this  
374 average at consecutive dates (Figure 6) permits examination of trends in the study area. Prior to  
375 1981, low positive values of the PI indicate a slow increasing rate in the proportion of vegetation  
376 to non-vegetation: the landscape is in an average state of forest growth. From 1981 to 1997, the  
377 average PI values are negative, indicating a decline in the proportion of vegetation to non-  
378 vegetation mainly caused by forest harvesting and, to a lesser extent, other disturbances; in the  
379 2000s PI values are again positive (Table 4, Figure 6). The standard deviation of PI values (Table  
380 4, Figure 6) is relatively high for the entire period indicating that this forest landscape is very  
381 dynamic and that there is a great variety of change processes occurring simultaneously.

382 Although the variation in the interval between image dates was considered when  
383 computing the PI values, the dearth of image data in the 1980s limits the analysis of trends.  
384 Further, the effect of late season imagery on PI values has to be considered in interpretation of  
385 changes. Despite these facts, a general decline in the *occupation state* (negative PI average) is  
386 observed in the 1980s and 1990s (Table 4, Figure 6) and a time of frequent and diverse change  
387 reflected by the high values of PI standard deviation.

388 For most image dates, the distribution of polygons with different *change processes* (PI  
389 values) is unimodal (Figure 6), with the majority of objects having a mean PI value close to zero  
390 (i.e., stable). The sample was divided in groups of PI values for exploration of changing patterns.  
391 With no ground truth to determine splitting thresholds, we used statistical criteria. The *stable*  
392 group, with PI close to zero, is a relevant group, representing areas with no change in the  
393 proportion of vegetation to non-vegetation. The *slow increase* and *slow decrease* groups were  
394 defined approximately by the values of the mean  $\pm$  two standard deviations of PI at all dates (i.e.,  
395 60 and -70). The *fast increase* and *fast decrease* groups include the remaining extreme values  
396 (Figure 6).

397 Objects in the *fast decrease* group (i.e., being highly disturbed), are the smallest group in  
398 all time periods (Figure 8): there is a small proportion of the landscape with a rapid net loss of  
399 vegetation. Similarly, objects in the *fast increase* group (i.e., in a state of rapid emergence or  
400 occupation) are also relatively infrequent. In contrast, the *slow increment* PI objects (i.e., growing  
401 stands) are normally the most frequent, with the exception of 1995 and 1997, when *slow decrease*  
402 (i.e., decay by aging, disease, or partial harvest) was more common.

403 <Insert Figure 8 around here>

#### 404 1.15 Spatial autocorrelation of forest occupation states and forest change processes

405 Global values of Moran's I show there is a consistent positive and high spatial autocorrelation of  
406 forest *occupation states* (TCA values) during the period from 1973 to 2008 (Table 5), with an  
407 average value of 0.643 at the L1 level of segmentation, and slightly lower for larger levels (results  
408 not shown). *Change processes* (PI values) are also positively and highly spatially correlated, with  
409 an average global Moran's I of 0.636 (Table 5). Whilst Moran's I values of TCA do not follow a  
410 clear trend, Moran's I values for PI generally decrease through time (Figure 9): similar *change*  
411 *processes* were spatially more concentrated at the beginning of the period of analysis, and have  
412 progressively lost spatial association, turning the landscape into a mosaic of *change processes*  
413 with smaller but more spread disturbance events and subsequent recovery. Observation of Figure

414 9 suggests there is no apparent correspondence between global spatial associations of TCA and PI  
415 values over time, state and process seem to have a different pattern.

416 <Insert Table 5 around here>

417 <Insert Figure 9 around here>

418 Exploration of the Moran's scatterplot informs about patterns of spatial autocorrelation,  
419 in particular if associations are between values over or below the average. As an example, the  
420 1997 scatterplot of occupation states (TCA) (Figure 9, 1) illustrates that spatial associations at this  
421 date are produced between a large range of values below the average (Figure 9, Panel 1, Notation  
422 A). Spatial associations are also produced between values over, but close to the average (Figure 9,  
423 Panel 1, Notation B). In this case a few points in the upper left and lower right quadrants depict  
424 spatial outliers with markedly different occupation state compared to those neighboring; for  
425 instance these areas relate to changed areas (island polygons) amid unchanged forest areas (or the  
426 converse, unchanged islands amidst change).

427 The pattern of spatial association shown by the *Moran's scatterplot of change processes*  
428 (PI values) in 1973 is different, the distribution of points in both quadrants of positive correlation  
429 is similar (Figure 9, 2): there is spatial association between values below and over the average,  
430 i.e., processes of change are spatially associated, whether they are related with growth, disturbance  
431 or stabilization.

432 The temporal correlation of *occupation states* (TCA) and *change processes* (PI) is  
433 explored by studying the bivariate (temporal) Moran's I. The spatial association of the target  
434 variable at two consecutive dates is evaluated (Table 5) to investigate the impact of particular  
435 occurrences on its neighborhood over time; care with different time intervals is necessary for  
436 interpretation. Results show global positive correlation of TCA at all time intervals (similar  
437 *occupation states* are spatially associated at consecutive dates, which seems very natural in the  
438 absence of disturbance), with a minimum of 0.352 in period 1976–1978 and a maximum of 0.656  
439 in period 1978–1981. Bivariate (temporal) global Moran's I of PI is in most cases positive (Table  
440 5) and not very large; a maximum of 0.494 occurs in period 2000–2001 and a minimum of -0.032  
441 in period 1997–2000. The pattern of *Moran's scatterplot* of TCA (2000–2001 as an example in  
442 Figure 9, 3) is similar to the univariate case, with TCA values dispersed in the *low-low* quadrant  
443 and few outliers. In the PI example (1978–1981), the *temporal Moran's scatterplot* is an  
444 agglomeration of points around zero, different to the univariate case: while the univariate picture  
445 shows clustering of similar change processes, there is not a clear pattern of association in the  
446 bivariate case (Figure 9, 4) and areas at varying change processes of growth or decay at  
447 consecutive dates are intermingled.

448 Local analysis with a *map of clusters* can provide spatially explicit information on  
449 clustering (Figure 10) informing and characterizing local associations; it is a useful tool for visual  
450 interpretation. The examples in Figure 10 illustrate the association type of *change processes* (PI)  
451 in the study area for the period investigated (1973–2008). Red polygons denote association of  
452 values greater than average (*high-high*), blue polygons association of values less than average  
453 (*low-low*); purple polygons are *high-low* outliers (with a value greater than the mean at the initial  
454 date, and surrounded by polygons with values less than the mean at the second date) and green  
455 denotes *low-high* outliers (with a value less than the mean at the initial date, and surrounded by  
456 polygons with values greater than the mean at the second date). Polygons of the same type  
457 grouped together indicate larger homogeneous areas with respect to the variable analysed, as  
458 occurs in 1995–1997, whereas small groups of clusters or isolated patches indicate a more  
459 heterogeneous landscape, as is the case in 1978–1981.

460 Despite the low values of global Moran's I for temporal PI correlation (Table 5), local  
461 analysis and examination of the cluster maps reveal that there is a substantial number of *change*  
462 *process* clusters of all categories.

463 <Insert Figure 10 around here>

464 The varying time intervals between available image data makes inference of trends in  
465 temporal association less reliable; a periodic series of images would facilitate a thorough temporal  
466 study. To investigate a possible trend, we calculated global and local correlations at quasi-  
467 quinquennial intervals: 1976-1981, 1981-1990, 1990-1995, 1995-2000, and 2000-2006, and  
468 analysed total amounts of each category of local clusters (Table 6, Figure 10). Given the location  
469 (latitude and alpine transition) combined with local forest productivity levels influencing  
470 successional processes, five to ten years is an adequate period to capture and portray the forest  
471 stand dynamics occurring; however, to detect more frequent changes, a complete series of annual  
472 images would be required.

473 <Insert Table 6 around here>

474 The highest number of significant ( $p < 0.001$ ) spatial clusters occur in the central periods,  
475 1995-2000 and 2000-2005 (Figure 11, Table 6), a time with persistent change. It is between 1981-  
476 1990 when more positive spatial associations of change processes happens; interestingly, in this  
477 longer time lapse spatiotemporal associations are equally distributed between processes over the  
478 average (regrowth) and below the average (disturbance and decay) change process. A close look  
479 at the original images reveals that clearcutting practices and subsequent regrowth were more  
480 concentrated in fewer areas than during more recent dates. The time interval is an important  
481 parameter to control in the analysis of temporal correlation of *change processes* for accurate and  
482 reliable reports and conclusions, and although global values of correlation do not give exhaustive  
483 information, local analysis can give important and detailed spatial information.

484 <Insert Figure 11 around here>

## 485 **Discussion**

486 The Tasseled Cap derived indices employed in this work are valuable tools for the capture and  
487 assessment of forest cover condition and change. The *Tasseled Cap Angle* reports the proportion  
488 of vegetation to non-vegetation (*occupation state*) in a defined area and its derivative, the *Process*  
489 *Indicator* informs the current process of change. These indices condense information from the  
490 visible and NIR wavelengths, and facilitate comparison of data from all of the Landsat sensors,  
491 enabling the study of forest landscape change with a lengthy series of historical satellite images  
492 dating from 1973 to 2008. Results of our study indicate that the landscape change was more  
493 spatially clustered prior to 1981, but that change became more widespread and dispersed in later  
494 years. Certain periods had more intense change, as indicated by their temporal spatial correlation.

495 Forest landscapes, particularly managed forest landscapes, are dynamic ecosystems with  
496 a number of different change processes ongoing at any given time. Although a variety of remote  
497 sensing techniques have the capacity to detect stand replacing events, the detection of subtle  
498 alterations that result in only minor spectral changes remains a challenge (Goodwin et al., 2010)  
499 as different phenology and illumination of images induce detection of false change. With  
500 disrupting artifacts suppressed, the PI would be able to account for a wide variety of change  
501 types, providing information of slight or substantial modifications that is leveraged by a temporal  
502 series of three or more normalized images: low positive values of PI indicate a slow increment in  
503 the *occupation state* due to natural growth, while low negative values of PI point to natural  
504 processes of decay, such as aging or disease, or human induced modifications such as partial  
505 harvest or thinning (Table 7). More notable and fast changes in the *occupation state*, like a  
506 disturbance with reduction of vegetation or a process of vegetation emergence are indicated with  
507 high negative or positive values, respectively. The capacity to relate both positive and negative

508 changes is a powerful aspect of the PI, enabling insights relating both forest (vegetation) gain and  
509 loss.

510 The TCA and PI, as derived from the TCT, are relative to the scene considered and would  
511 require a process of normalization to enable comparisons between different sites. If field data are  
512 not available, a study of relative change is the best option for the examination of trends. The  
513 availability of ground data for calibrating these indices could enable them to work as a look up  
514 table for other attributes, such as cover percentage, seral stage, or biomass content, facilitating  
515 forest monitoring efforts (e.g., Powell et al., 2010).

516 <Insert Table 7 around here>

517 Analyzing a temporal series of images supports the assessment of trends and rates of  
518 change that otherwise might be missed with only a bi-temporal change detection approach  
519 (Lunetta et al., 2004). The adequate interpretation of tendencies is conditioned by the time  
520 interval between consecutive images, and the scarcity of data for any one period may preclude a  
521 complete understanding of the landscape change. A decadal interval might be sufficient for  
522 preparing a summary of conditions and for planning silvicultural treatments and wood  
523 extractions, but more frequent information is required for monitoring of forest health and  
524 biomass. Jin and Sader (2005) recommend a period of three to five years for interpretation of  
525 condition and change in a forest area, but the ideal number of images and acquisition timing is  
526 site dependent (Wilson and Sader, 2002) and often restricted by image availability. We used a  
527 quasi-quinquennial interval for evaluation of change processes (PI) and a decadal interval for  
528 summary of change in the landscape state (TCA) obtaining sound and useful outcomes.

529 As long as temporal factors are considered, the interpretation of TCA and PI may be  
530 combined to provide insights on the *change processes* that are active in a forest landscape:  
531 varying rates of cover change could have different effects on dense or open forests and could  
532 trigger different phenomena. A simultaneous view of *occupation states* defining the landscape  
533 pattern and current *change processes* could help understanding the relation between pattern and  
534 process, a recursive question difficult to solve in landscape ecology (Turner, 1989; Walsh et al.,  
535 2009).

536 Although there is no confirmed link between these indices and ecological succession  
537 stages, the combined interpretation acts to facilitate analysis of succession patterns. The  
538 contextual temporal information given by the TCA enables proper interpretation of change that  
539 may be confounded with traditional techniques (Masek et al., 2008). The TCA provides  
540 information of vegetation proportion and the PI gives an instantaneous picture of the *change*  
541 *process*; together with some ecological knowledge, forest seral stages may be identified (i.e.,  
542 young stand growing, young stand with disease, mature stand in decay, recovery from  
543 disturbance, or other situation). It must be noted that in order to enable accurate understanding of  
544 a trajectory of change, some knowledge of the local ecology is always required. Figure 12 depicts  
545 possible interpretation of consecutive *change processes* for a homogeneous area.

546 <Insert Figure 12 around here>

547 The object oriented approach implemented to help in the analysis of change at the  
548 landscape level provides meaningful reporting units, that is, objects analogous to forest stands.  
549 The spatial scale is a key parameter for assessment of ecological processes; we opted for a data  
550 driven method in the definition of spatial units, based on homogeneity of areas at the initial and  
551 final dates of the period (1973 and 2008). Establishing the hierarchy on the variables of interest,  
552 the transmission of significant information between levels is assured: initial and final state levels  
553 are connected through an intermediate level of processes accounting for the entire trajectory of  
554 change. Different intermediate levels could be defined for specific applications. For example, a  
555 forest health monitoring study may be interested in the progress of defined segments since the

556 time of infection, and subtle changes could be detected from that point on. We reported the state  
557 and change of forest landscape with objects of a mean size of approximately 40 hectares, with a  
558 common initial state and intermediate history of change; however, the method allows any sized  
559 object to be used, enabling the selection of the most appropriate size given the ecological  
560 processes operating in the area.

561 Spatial and temporal autocorrelation is a complex and scale dependent phenomenon that  
562 is expected in natural environments. In the time period analyzed, some relations and patterns were  
563 unveiled for *occupation state* and *change processes*. The spatial correlation of *occupation state*  
564 was always positive; *change processes* were also positively correlated at the global spatial level  
565 and with a decreasing tendency over time. Temporal spatial autocorrelation of *change processes*  
566 was found in local aggregations, necessitating further analysis with local measure to understand  
567 the local variability.

## 568 **Conclusion**

569 The study of environmental long term historical change is facilitated with the free access to the  
570 United States Geological Survey Landsat data archive. Extensive areas can now be monitored  
571 retrospectively with techniques that incorporate multi-temporal information in a spatially explicit  
572 manner, and which are capable of seamlessly integrating data from a variety of sensors. An index  
573 derived from the well known TCT, the *Tasseled Cap Angle*, and its derivative, the *Process*  
574 *Indicator*, have demonstrated potential for characterizing the change in state and process in a  
575 dynamic forest area, enabling detection of subtle changes as well as more obvious stand-replacing  
576 disturbances. Combined, the interpretation of the TCA and its derivative, the PI, provides a  
577 simultaneous view of the occupation state and the change processes that are operating in a forest  
578 landscape, thereby enabling some understanding of the elusive relationships between landscape  
579 pattern and process—a recursive question of landscape ecology. A hierarchical segmentation  
580 process incorporating spatial and temporal properties provides flexibility in the establishment of  
581 the scale of analysis. Spatial statistics applied to multipixel objects enable assessment of spatial  
582 and temporal correlation of change events at the landscape level. Applications that require  
583 temporally detailed and spatially explicit information, such as forest succession studies, forest  
584 health monitoring, habitat models, and biomass or carbon accounting programs, will benefit from  
585 the use of these tools that provide dynamic information of the forest state and processes. Further  
586 work to link TCA and PI values with better known scales of forest variables is recommended to  
587 facilitate interpretation.

## 588 **Acknowledgements**

589 We acknowledge the Government Related Initiatives Program (GRIP) of the Canadian Space  
590 Agency (CSA) support of the project “EcoMonitor: Northern Ecosystem Climate Change  
591 Monitoring From Space” that enabled implementation of this research. The constructive  
592 comments of the anonymous reviewers are thanked for providing insightful, detailed, and helpful  
593 direction.

594

595 **Appendix A.** Lagrange interpolation of the TCA

596 The Lagrange interpolating polynomial of the TCA profile at each pixel is given by:

597 
$$f_2(t) = \sum_{i=0}^2 L_i(t) f(t_i) \quad (\text{A1})$$

598 where  $f_2(t)$  stands for the 2<sup>nd</sup> order polynomial that approximates the function  
599  $TCA = f(t)$  given at 3 data points as  $(t_0, TCA_0), (t_1, TCA_1), (t_2, TCA_2)$ , and the Lagrangian  
600 weights are:

601 
$$L_i(t) = \prod_{\substack{j=0 \\ j \neq i}}^n \frac{t - t_j}{t_i - t_j} \quad (\text{A2})$$

602 The polynomial formula for the interpolated TCA at each pixel is therefore:

603 
$$TCA(t) = \left( \frac{t - t_1}{t_0 - t_1} \right) \left( \frac{t - t_2}{t_0 - t_2} \right) TCA(t_0) + \left( \frac{t - t_0}{t_1 - t_0} \right) \left( \frac{t - t_2}{t_1 - t_2} \right) TCA(t_1) + \left( \frac{t - t_0}{t_2 - t_0} \right) \left( \frac{t - t_1}{t_2 - t_1} \right) TCA(t_2) \quad t_0 \leq t \leq t_2 \quad (\text{A3})$$

604 The PI or derivative of this polynomial can be expressed as:

605 
$$PI(t) = \frac{2t - t_1 - t_2}{(t_0 - t_1)(t_0 - t_2)} TCA(t_0) + \frac{2t - t_0 - t_2}{(t_1 - t_0)(t_1 - t_2)} TCA(t_1) + \frac{2t - t_0 - t_1}{(t_2 - t_0)(t_2 - t_1)} TCA(t_2), \quad t_0 \leq t \leq t_2 \quad (\text{A4})$$

606

607 **Appendix B.** Moran's Index

608 Moran's Index can be expressed as:

609 
$$I = \frac{N}{\sum_i \sum_j w_{ij}} * \frac{\sum_i \sum_j w_{ij} (x_i - \mu)(x_j - \mu)}{\sum_i (x_i - \mu)^2} \quad (\text{A5})$$

610 Where  $x_i$  is the variable of interest  $x$  measured at location  $i$ ,  $N$  the number of  
611 observations,  $\mu$  the mean of the variable, and  $w_{ij}$  are the elements of the *spatial weights*  
612 *matrix*, which expresses the membership of observations in the neighborhood set for each  
613 location (Anselin, 1992).

614 A standardized  $z$ -value is reported for ease of interpretation.

615 
$$z_i = \frac{I_i - E(I_i)}{\sqrt{V(I_i)}} \quad (\text{A6})$$

616

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*Table 1. Landsat time-series of imagery used in the study*

<b>Landsat / Sensor</b>	<b>Path/Row</b>	<b>Date (dd/mm/yyyy)</b>	<b>Sun elevation (degrees)</b>	<b>Source</b>
1 / MSS	50/22	16/09/1973	36.21	GLCF
2 / MSS	50/22	27/09/1976	30.40	CCRS
2 / MSS	50/22	25/07/1978	49.00	GLCF
2 / MSS	50/22	14/08/1981	46.10	CCRS
5 / TM	46/22	06/09/1990	37.38	USGS
5 / TM	46/22	23/07/1991	50.25	USGS
5 / TM	46/22	04/09/1995	36.99	USGS
5 / TM	46/22	25/09/1997	32.21	CCRS
7 / ETM+	46/22	25/09/2000	32.82	USGS
7 / ETM+	46/22	28/09/2001	31.70	USGS
7 / ETM+	46/22	15/09/2002	36.48	USGS
5 / TM	46/22	11/08/2004	47.31	CCRS
5 / TM	46/22	30/06/2006	55.86	USGS
5 / TM	46/22	06/08/2008	48.80	USGS

CCRS: Canadian Centre for Remote Sensing  
 GLCF: Global Land Cover Facility  
 USGS: United States Geological Survey

*Table 2. Coefficients used for calculation of TCT indices*

<b>Sensor</b>	<b>Component</b>	<b>R</b>	<b>G</b>	<b>B</b>	<b>NIR</b>	<b>SWIR1</b>	<b>SWIR2</b>
MSS	Brightness	0.433	0.632	0.586	0.264	N/A	N/A
	Greenness	-0.290	-0.562	0.600	0.491	N/A	N/A
TM	Brightness	0.3037	0.2793	0.4343	0.5585	0.5082	0.1863
	Greenness	-0.2848	-0.2435	-0.5436	0.7243	0.0840	-0.1800
ETM+	Brightness	0.3561	0.3972	0.3904	0.6966	0.2286	0.1596
	Greenness	-0.3344	-0.3544	-0.4556	0.6966	-0.0242	-0.2630

Table 3. Characteristics of hierarchical spatio-temporal segments

Level	Similarity	Attribute for definition	Mean Size (ha)	Number objects
L3	Initial <i>occupation state</i>	1973 TCA	634.4	2021
L2	<i>Change process trajectory</i>	1973-2008 PI	141.9	9032
L1	Final <i>occupation state</i>	2008 TCA	43.1	29544

Table 4. Statistics of TCA and PI values at L1 level

TCA	1973	1976	1978	1981	1990	1991	1995	1997	2000	2001	2002	2004	2006	2008
Mean	227.13	229.99	241.33	244.05	231.83	232.73	226.40	195.23	204.64	201.81	235.93	240.01	237.69	244.56
Std deviation	74.21	59.55	66.24	66.02	80.78	84.46	87.18	91.88	95.05	95.73	83.23	80.19	81.59	78.90
Kurtosis	5.57	2.14	5.55	6.30	4.58	10.03	8.62	10.74	15.34	13.48	7.43	7.96	8.30	8.89
Skewness	-1.21	-0.58	-0.86	-0.93	-1.21	-1.92	-1.99	-2.45	-2.98	-2.66	-1.67	-1.77	-1.75	-1.90
Min.	-463.92	-83.08	-450.94	-491.11	-322.71	-646.56	-505.43	-566.48	-735.87	-701.26	-457.65	-499.27	-539.34	-502.49
Max.	432.41	432.66	399.30	417.55	438.03	407.07	441.63	446.87	454.64	447.31	447.03	413.21	399.25	405.40
PI	1976	1978	1981	1990	1991	1995	1997	2000	2001	2002	2004	2006		
Mean	7.10	7.03	-4.75	-5.66	-2.71	-18.75	-10.88	3.29	15.64	19.10	0.88	2.27		
Std deviation	20.30	26.14	18.88	21.38	16.28	34.41	25.15	17.90	25.31	30.58	17.32	12.93		
Kurtosis	7.65	1.26	8.97	12.10	11.91	1.58	8.55	11.51	2.64	4.83	6.22	14.94		
Skewness	1.05	0.06	-1.07	-2.30	-1.21	-0.58	-1.21	-0.70	1.00	0.73	-0.12	-0.20		
Min.	-338.31	-227.89	-217.41	-320.04	-235.86	-310.10	-420.88	-369.72	-109.03	-213.48	-128.77	-126.84		
Max.	189.68	134.98	314.38	97.04	108.96	164.26	202.64	144.69	284.95	378.67	185.01	214.39		

Table 5. Values of Moran's Index of univariate (spatial) and bivariate (temporal) TCA and PI. All correlations with  $p$ -value < 0.001

<i>Spatial</i>	1973	1976	1978	1981	1990	1991	1995	1997	2000	2001	2002	2004	2006	2008	
TCA	0.6740	0.5397	0.6959	0.6709	0.6804	0.6813	0.6625	0.6083	0.5087	0.5983	0.6601	0.6723	0.6848	0.6657	
PI	0.7940	0.6365	0.7275	0.6095	0.6690	0.6422	0.6880	0.6521	0.6311	0.6860	0.6070	0.5086	0.5691	0.5336	
<i>Temporal</i>	73-76	76-78	78-81	81-90	90-91	91-95	95-97	97-00	00-01	01-02	02-04	04-06	06-08		
TCA	0.3959	0.3523	0.6557	0.6003	0.6522	0.6290	0.5112	0.5637	0.5744	0.5284	0.6235	0.6448	0.6512		
PI	0.1170	0.2259	0.0434	0.4209	0.1729	0.3140	0.4668	-0.0321	0.2301	0.4940	0.2360	0.1307	0.0397		

Table 6. Number of significant PI ( $p < 0.01$ ) clusters for quasi-quinquennial intervals

Cluster	1976-1981	1981-1990	1990-1995	1995-2000	2000-2006
High-high	612	1559	1448	890	1192
Low-low	501	1239	885	561	370
<b>Total positive</b>	<b>1113</b>	<b>2798</b>	<b>2333</b>	<b>1451</b>	<b>1562</b>
High-low	1273	349	1788	2411	1205
Low-high	1015	306	2023	2393	1280
<b>Total outliers</b>	<b>2288</b>	<b>655</b>	<b>3811</b>	<b>4804</b>	<b>2485</b>
<b>Total</b>	<b>3401</b>	<b>3453</b>	<b>6144</b>	<b>6255</b>	<b>4047</b>

Table 7. Interpretation of TCA and PI values

Value		<b>TCA</b> <i>Occupation state</i>	<b>PI</b> <i>Change process</i>
Positive	High	High proportion Veg-nonVeg	Emergence
	Low	Low proportion Veg-nonVeg	Growth
Zero		Greenness = 0	Stable
Negative	Low	Non-vegetated	Decrease (natural decay or partial harvest)
	High	Non-vegetated	Disturbance

**FIGURES**

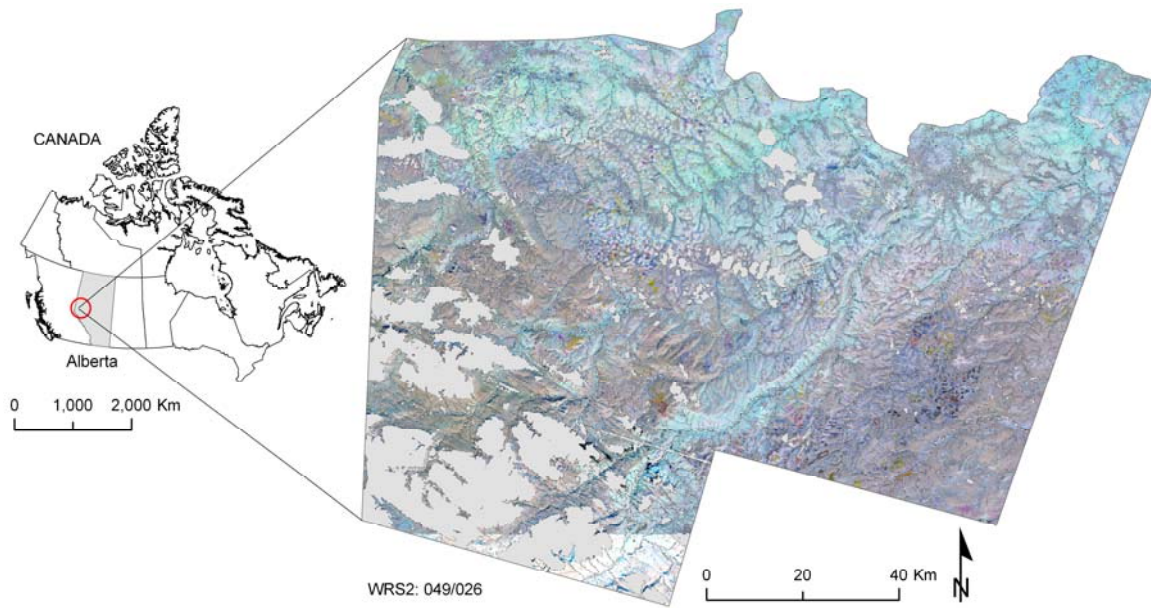


Figure 1. Location of the study area. The inset displays a combination of Tasseled Cap Angle (TCA) layers of years 2001 (Red), 2002 (Green), 2004 (Blue); areas of clouds and altitude over 1700 m are masked out.



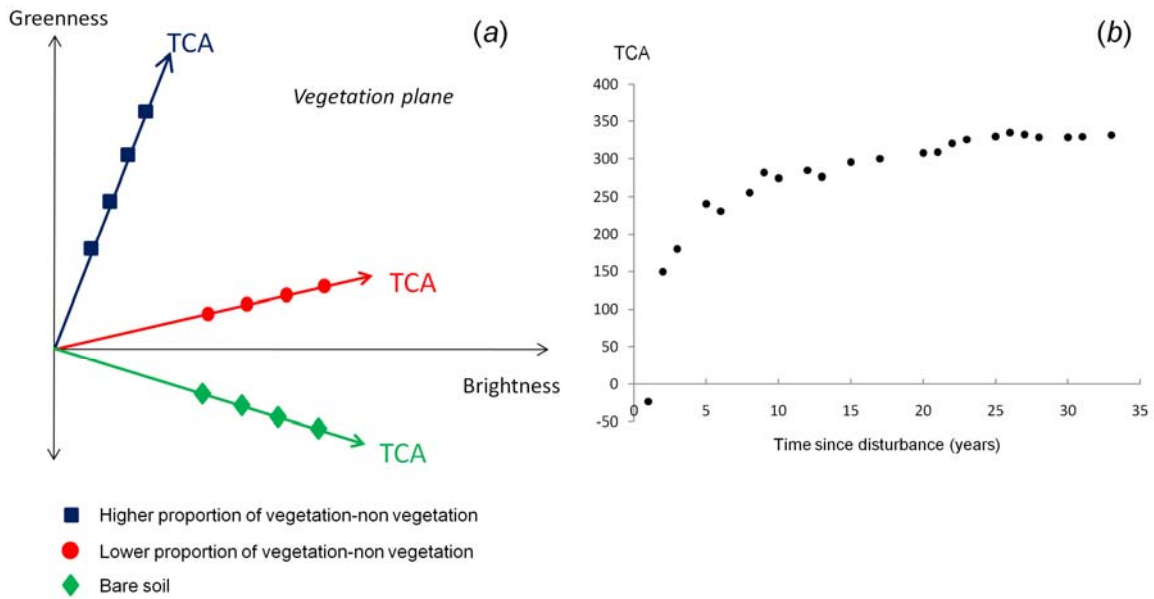


Figure 2. (a) Tasseled Cap Transformation Brightness and Greenness components form the vegetation plane (Crist and Cicone, 1984). The TCA is the arc tangent formed by Greenness and Brightness. Forest stands with higher proportion of vegetation-non vegetation show higher values of TCA, bare soil shows negative TCA. (b) TCA average values of disturbed areas in the study area in the last 35 years; recent clearcuts show negative TCA value.

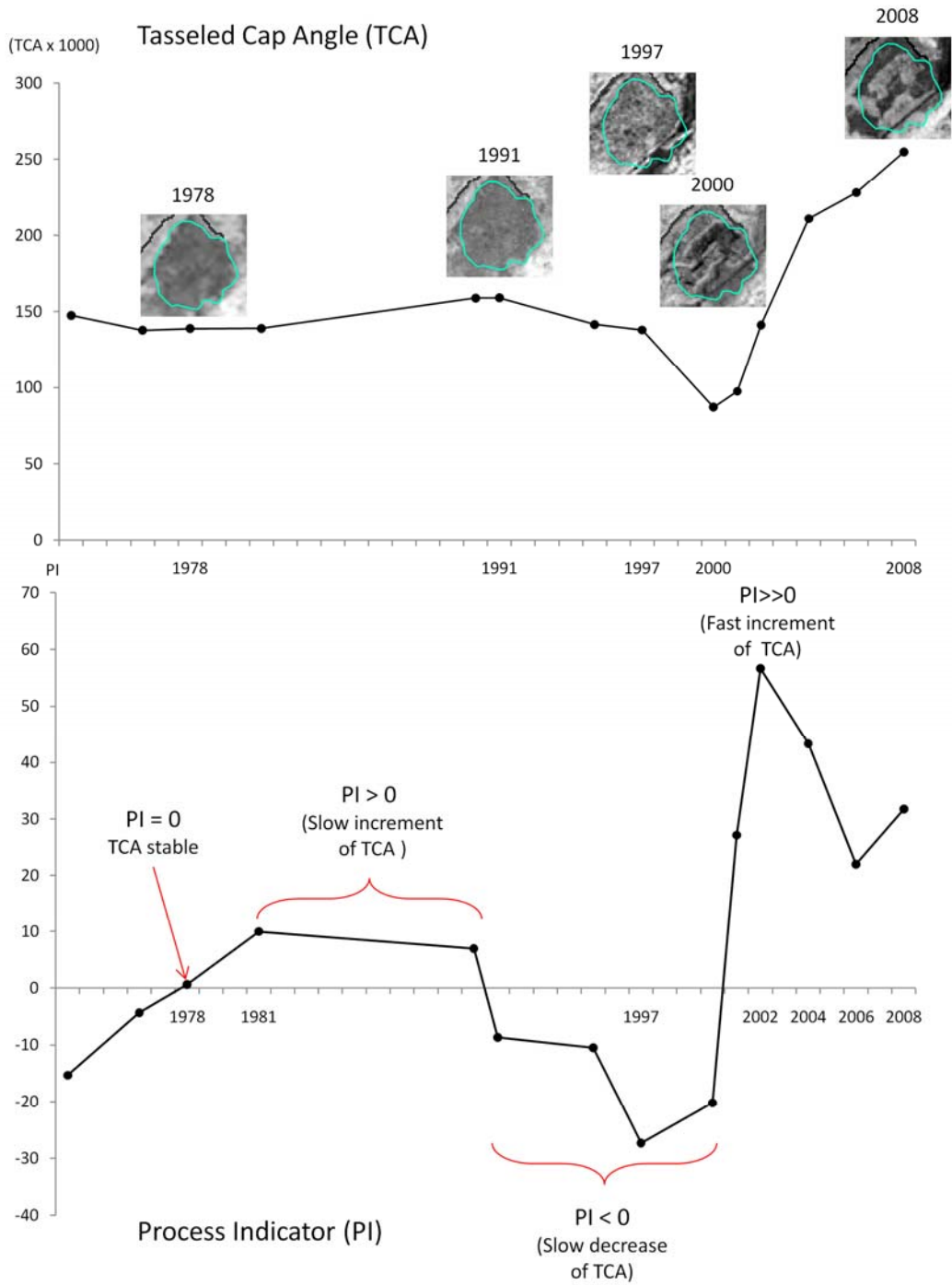


Figure 3. TCA (1973-2008) and PI (1976-2006) trajectories of a L1 object. The PI is calculated as the derivative of the TCA curve (interpolated with a second order Lagrange polynomial). PI values correspond to each date.

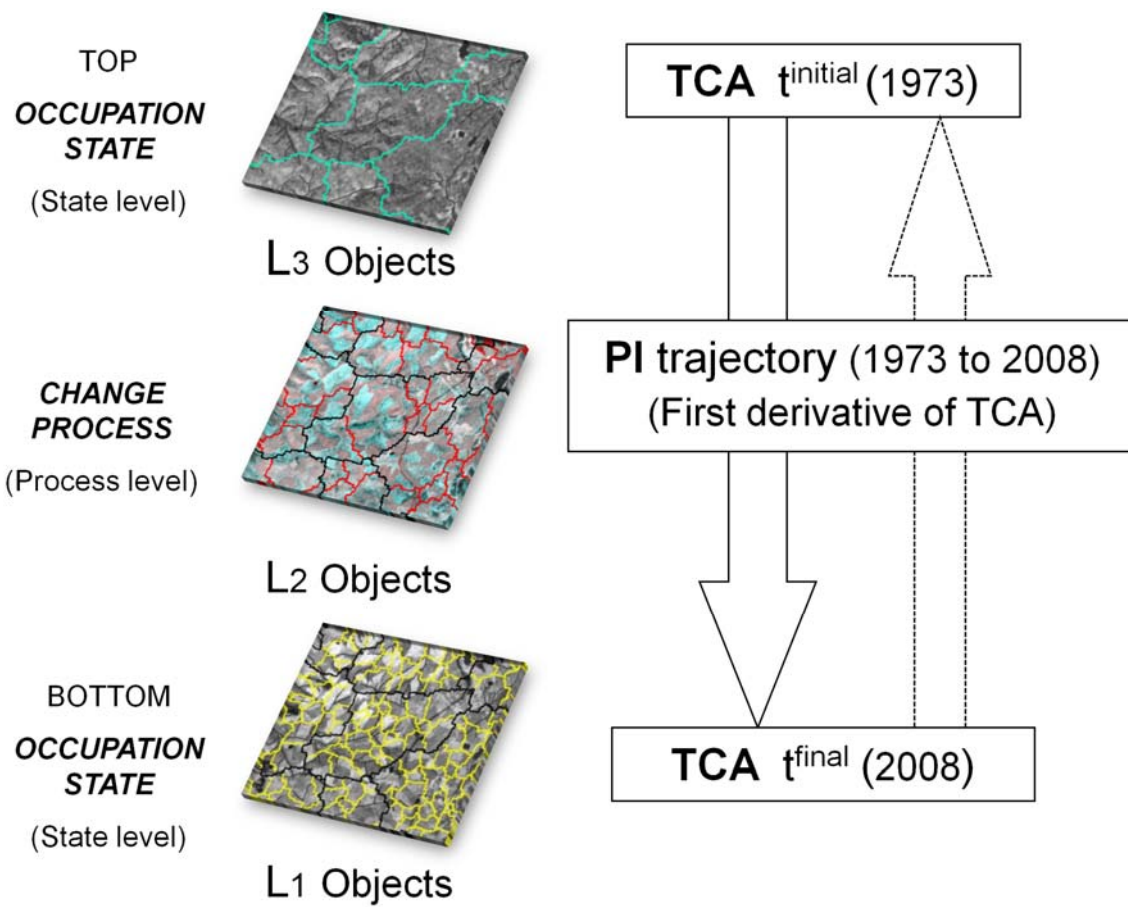


Figure 4. Hierarchical spatio-temporal segmentation process. Bottom level 1 of homogeneous actual occupation states objects serves as base for creation of top level 3 representing homogeneous occupation state objects at initial date. Objects of intermediate process level are limited in size and boundaries by both occupation state levels.

## Moran's I scatterplot

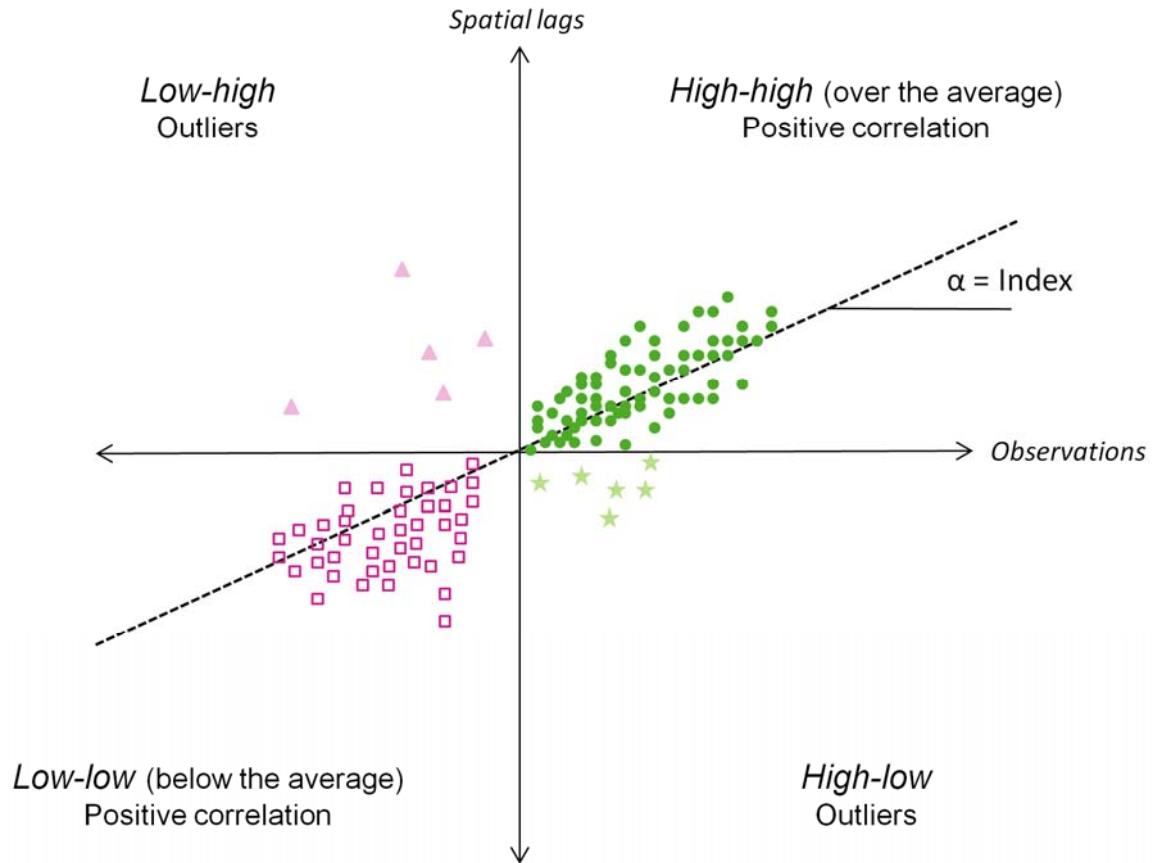


Figure 5. Moran's I scatterplot. The slope of the regression line is an estimation of the global Moran's I. Relative density of points in the correlation quadrants indicates how the global measure of spatial association is determined by association between high or low values.

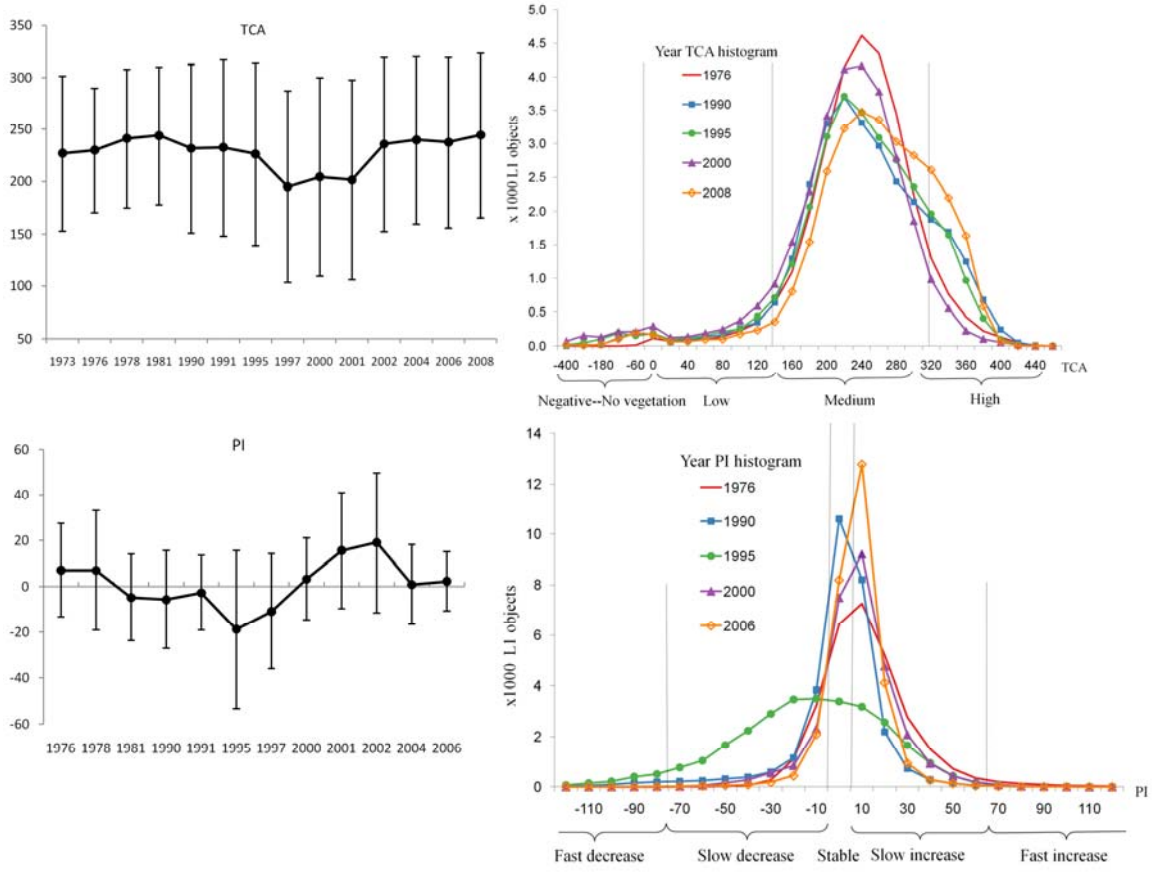


Figure 6. Mean  $\pm$  1 standard deviation of TCA values of L1 objects (other object levels show similar trends) (left). Histograms of TCA and PI distribution (right).

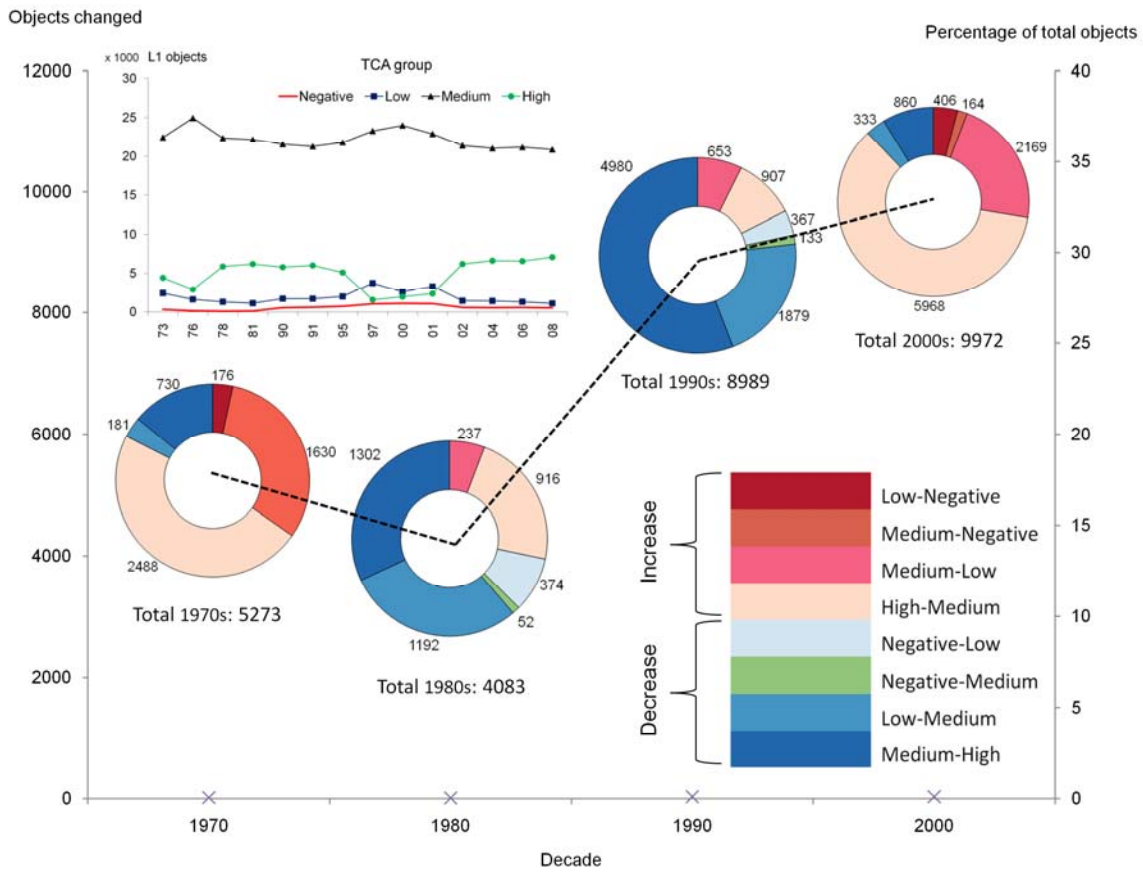


Figure 7. Changing objects between TCA categories in each of the last four decades. Evolution of total number of objects in different TCA groups at level 1 (top left inset) (other levels of segmentation show similar trend).

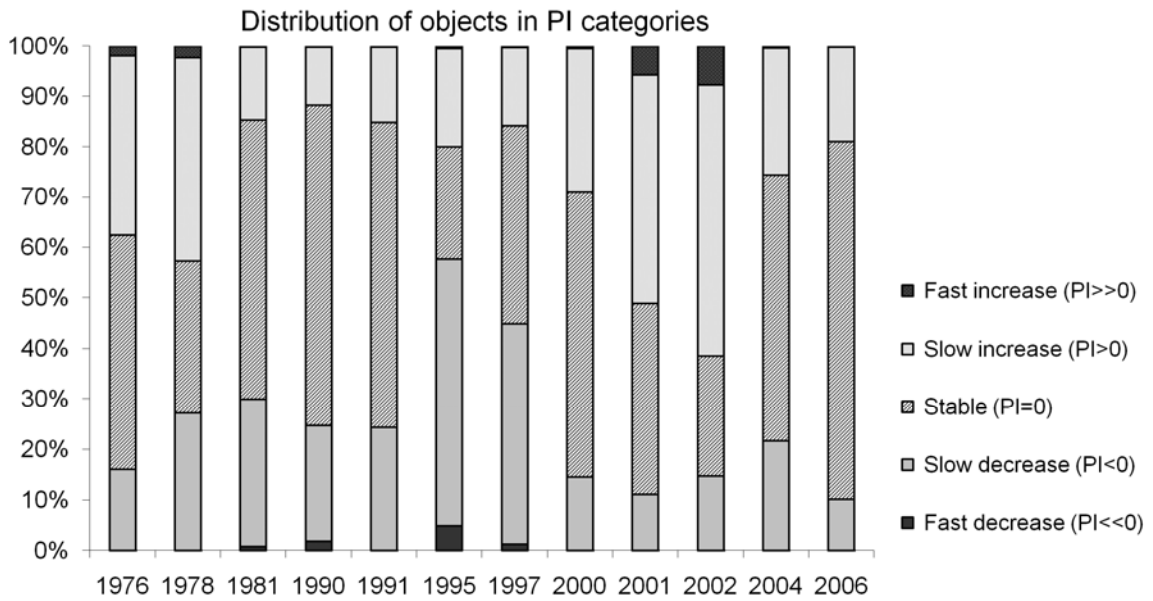


Figure 8. Evolution of change process (PI) categories of level 1 objects.

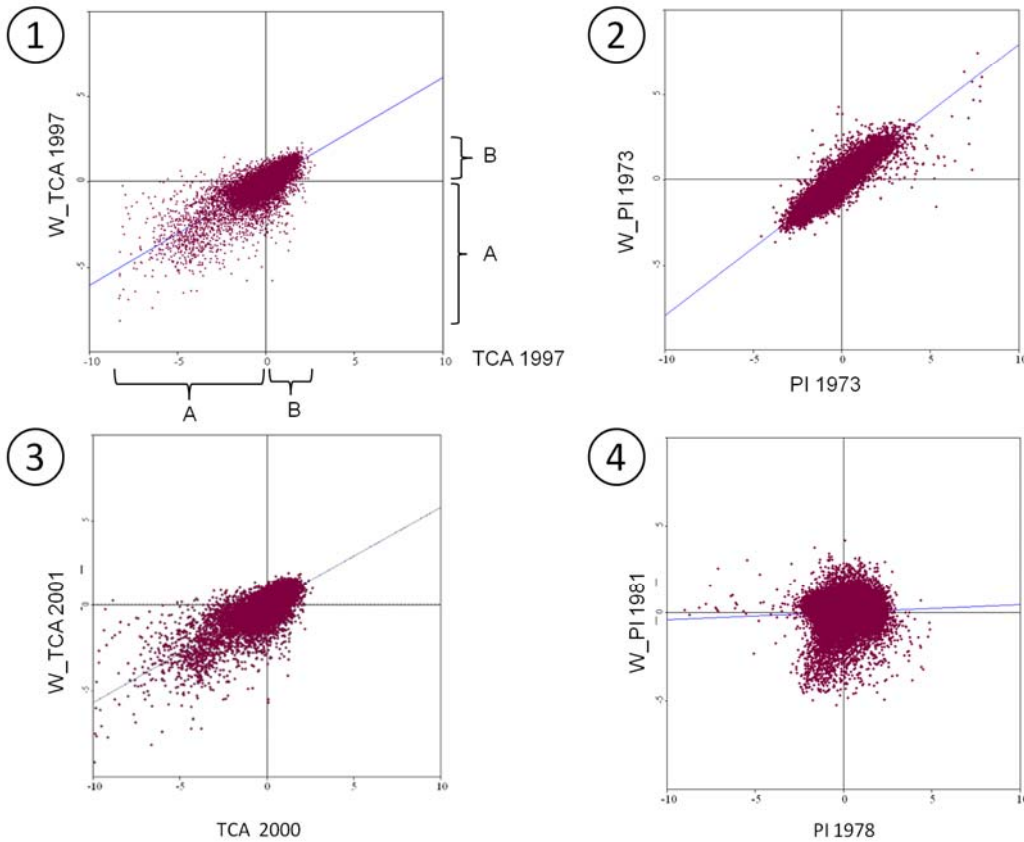
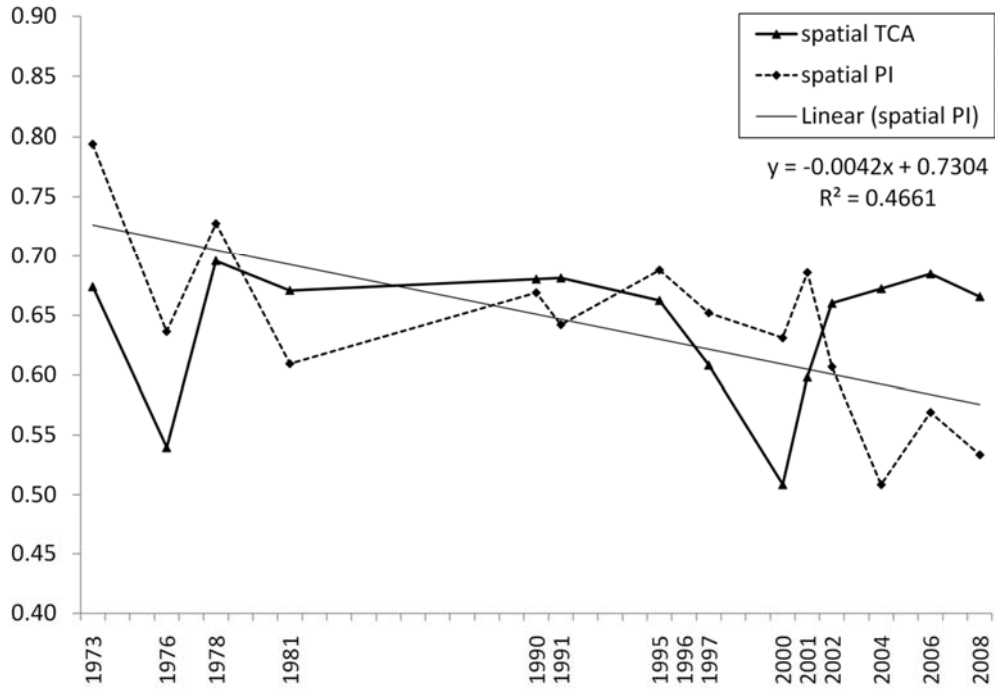


Figure 9. TCA and PI spatial global Moran's I trends (top). Spatial (univariate: 1, 2) and temporal (bivariate: 3, 4) Moran's I scatterplots of TCA and PI (bottom)



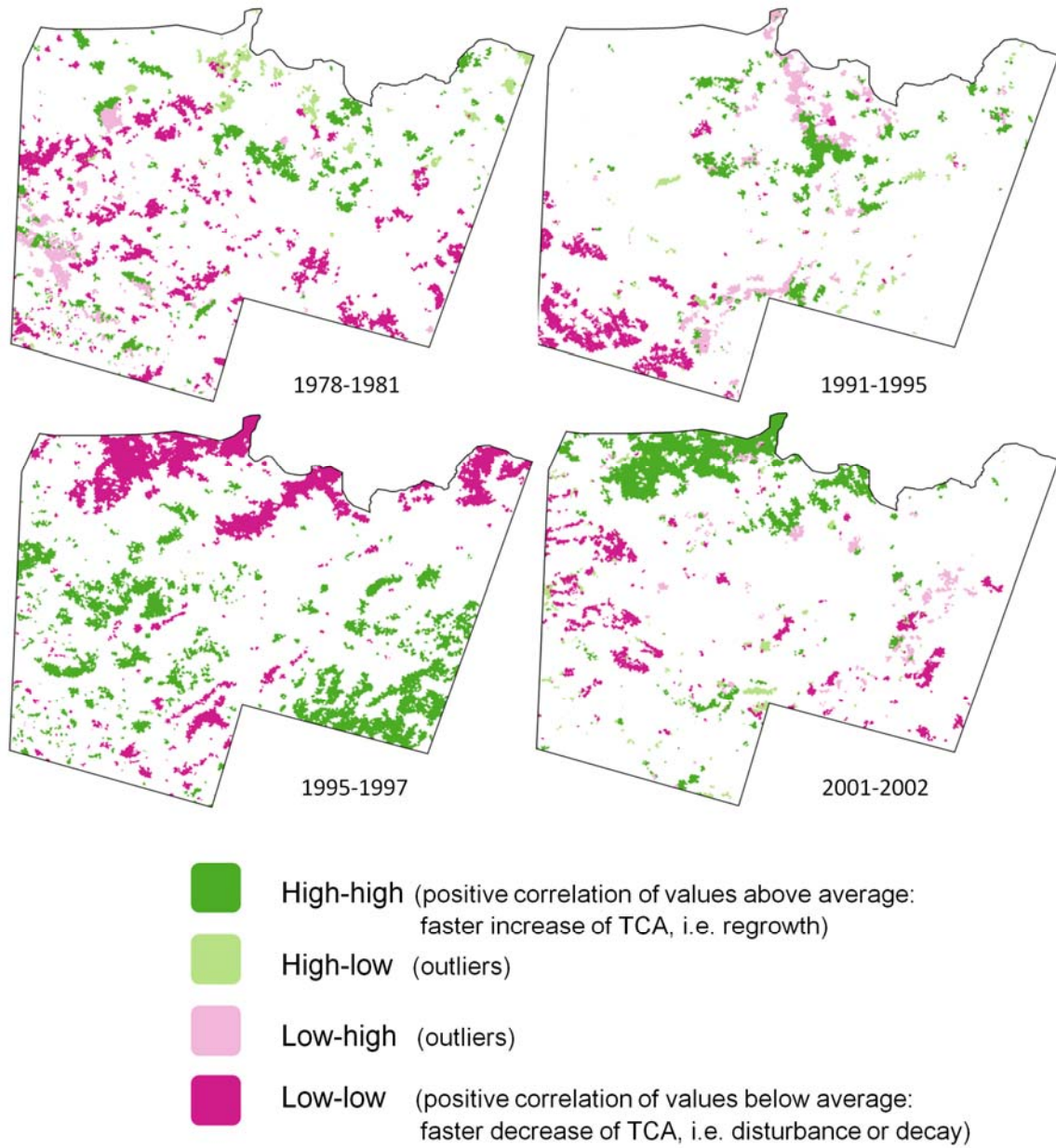


Figure 10. LISA Maps of temporal association PI clusters; only significant polygons ( $p < 0.01$ ) are colored.

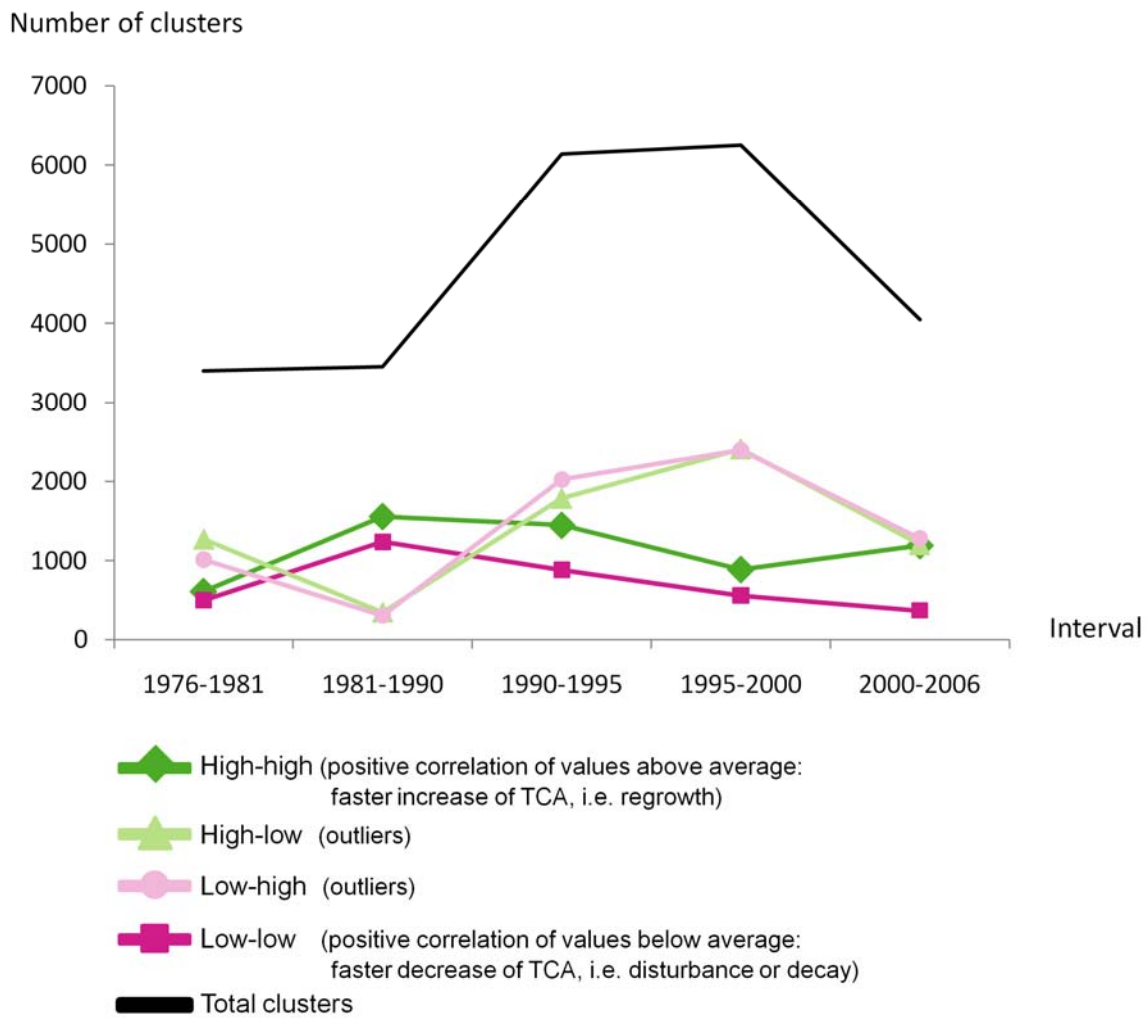


Figure 11. Evolution of significant clusters per quasi-quinquennial period

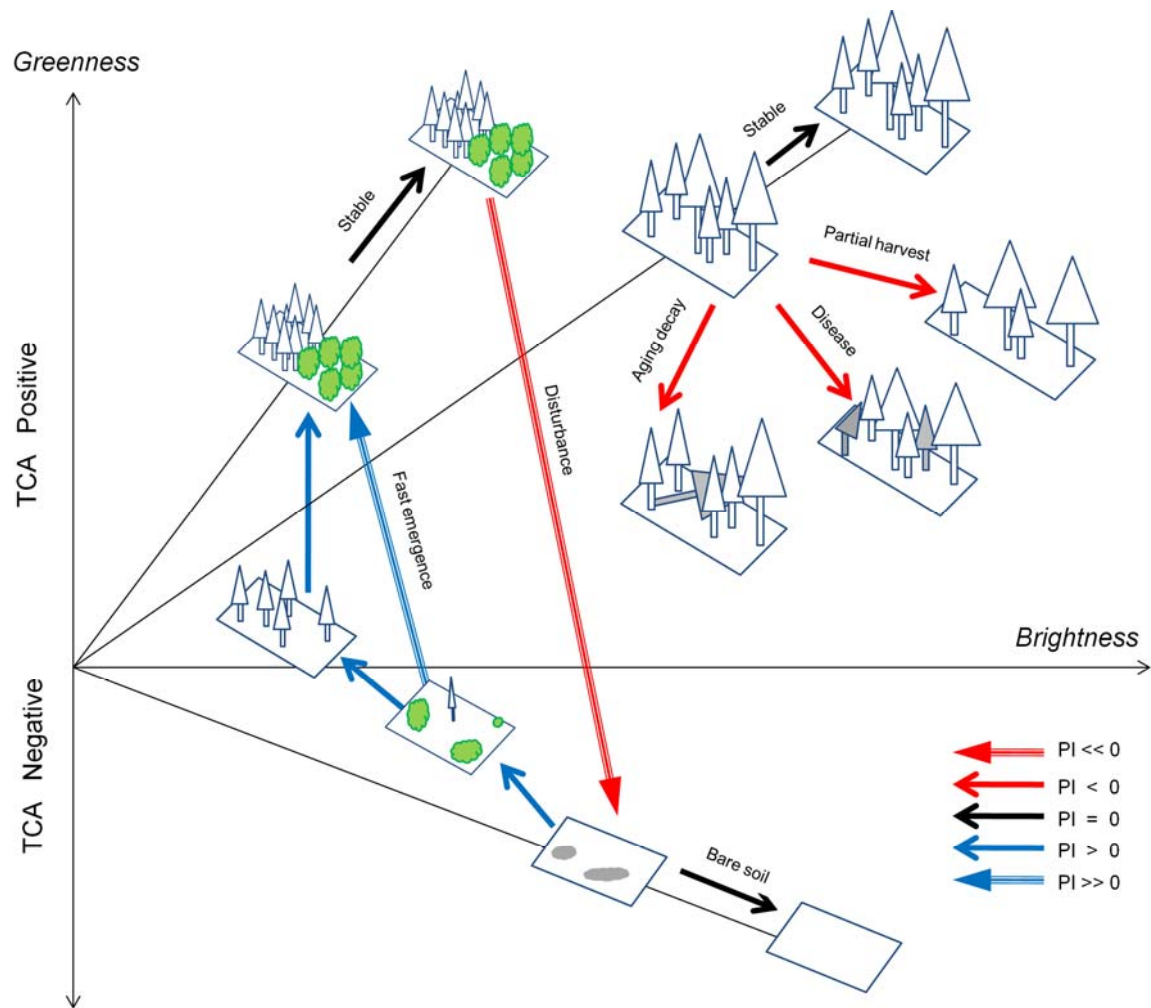


Figure 12. Relative TCA values of various occupation states of the coniferous forest in the study area and PI values of changing processes.